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GenSum

**A Generalized Text Summarization System using Optimized Transformers**

A Product Specification & Prototype Design by

Mr. Nazhim Kalam

W1761265 | 2019281

Supervised by

Mr. Torin Wirasingha

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# **ABSTRACT**

Abstractive text summarization systems have been integrated with various application in the world to perform text summarization and its nothing new to the field. However, with the prior research it found that in the domain of movies the need for performance improvement is required using latest approaches than the current traditional ML & DL methods, movie review summarization plays a major role in helping users to make better decisions by matching their interest with the reviews of the movie, this saves a lot of time and also improves businesses in their sales.

In 2017 researches from Google Brain introduced NLP Transformers, which is a latest approach to solve NLP problems and its increasingly been known and used nowadays over traditional ML & DL approaches like using basic LSTM, RNN approaches. The author explored ways in which to get an optimal solution using Transformer for abstractive text summarization and yet making a generalized solution which can be adapted with respect to any domain (be it hotels, movies, restaurants) and increase its performance as the system gets used over with time.

The author was able to experiment with few of the top tier transformer architectures to filter out the optimal model and integrated an automated hyperparameter searching mechanism which will find the best set of hyperparameters to train the model. **ROUGE1 of 80.8,** **ROUGE2 of 79.42, ROUGEL of 80.8, ROUGELSUM of 80.8** was the optimal evaluation metric result achieved from the BART model giving the best result.

**Keywords:** Natural Language Processing (NLP), Machine Learning (ML), Deep Learning (DL), Recall-Oriented Understudy for Gisting Evaluation (ROUGE), Inductive logic programming (ILP)

**Subject Descriptors:**

* Computing methodologies 🡪 Artificial intelligence 🡪 Natural language processing 🡪 Natural language generation
* Theory of computation 🡪 Theory and algorithms for application domains 🡪 Machine learning theory 🡪 Semi-supervised learning.
* Information systems 🡪 Information systems applications 🡪 Management and querying of encrypted data.
* Security and privacy 🡪 Database and storage security 🡪 Data mining.

**Contents**

[ABSTRACT i](#_Toc127368826)

[List of Tables vii](#_Toc127368827)

[List of Figures viii](#_Toc127368828)

[CHAPTER 01. INTRODUCTION 1](#_Toc127368829)

[1.1. Chapter Overview 1](#_Toc127368830)

[1.2. Problem Domain 1](#_Toc127368831)

[1.2.1 Movie User Reviews 1](#_Toc127368832)

[1.2.2 Text Summarization 2](#_Toc127368833)

[1.2.3 Transformers 2](#_Toc127368834)

[1.3. Problem Definition 2](#_Toc127368835)

[1.3.1 Problem Statement 3](#_Toc127368836)

[1.4. Research Questions 3](#_Toc127368837)

[1.5. Research aim & Objectives 3](#_Toc127368838)

[1.5.1 Research Aim 3](#_Toc127368839)

[1.5.2 Research Objectives 4](#_Toc127368840)

[1.6. Novelty of the Research 6](#_Toc127368841)

[1.6.1 Problem Novelty 6](#_Toc127368842)

[1.6.2 Solution Novelty 6](#_Toc127368843)

[1.7. Research Gap 6](#_Toc127368844)

[1.8. Contribution to the Body of knowledge 7](#_Toc127368845)

[1.8.1 Research Domain Contribution 7](#_Toc127368846)

[1.8.2 Problem Domain Contribution 8](#_Toc127368847)

[1.9. Research Challenge 8](#_Toc127368848)

[1.10. Chapter Summary 8](#_Toc127368849)

[CHAPTER 02. SOFTWARE REQUIREMENTS SPECIFICATION 9](#_Toc127368850)

[2.1. Chapter Overview 9](#_Toc127368851)

[2.2. Rich Picture 9](#_Toc127368852)

[2.3. Stakeholder Analysis 10](#_Toc127368853)

[2.3.1 Stakeholder Onion Model 10](#_Toc127368854)

[2.3.2 Stakeholder Viewpoints 11](#_Toc127368855)

[2.4. Selection of Requirement Elicitation Methodologies 12](#_Toc127368856)

[2.5. Discussion of Findings 13](#_Toc127368857)

[2.5.1 Literature Review 13](#_Toc127368858)

[2.5.2 Brainstorming 14](#_Toc127368859)

[2.5.3 Survey 14](#_Toc127368860)

[2.5.4 Interviews 17](#_Toc127368861)

[2.5.5 Self Evaluation 19](#_Toc127368862)

[2.5.6 Prototyping 20](#_Toc127368863)

[2.5.7 Summary of Findings 20](#_Toc127368864)

[2.6. Context Diagram 22](#_Toc127368865)

[2.7. Use case Diagram 22](#_Toc127368866)

[2.8. Use case Descriptions 23](#_Toc127368867)

[2.9. Requirements 25](#_Toc127368868)

[2.9.1 Functional Requirements 25](#_Toc127368869)

[2.9.2 Non-Functional Requirements 26](#_Toc127368870)

[2.10. Chapter Summary 27](#_Toc127368871)

[CHAPTER 03. DESIGN 28](#_Toc127368872)

[3.1. Chapter Overview 28](#_Toc127368873)

[3.2. Design Goals 28](#_Toc127368874)

[3.3. High Level Design 28](#_Toc127368875)

[3.3.1. Architecture Diagram 28](#_Toc127368876)

[3.3.2. Discussion of Tiers of the Architecture 29](#_Toc127368877)

[3.4. System Design 30](#_Toc127368878)

[3.4.1. Choice of Design Paradigm 30](#_Toc127368879)

[3.5. Design diagrams 31](#_Toc127368880)

[3.5.1. Data Flow diagrams 31](#_Toc127368881)

[3.5.1.1. Level 01 Data Flow diagram 31](#_Toc127368882)

[3.5.1.2. Level 02 Data Flow diagram 32](#_Toc127368883)

[3.5.2. System Process Activity Diagram 32](#_Toc127368884)

[3.5.4. UI Design 33](#_Toc127368885)

[3.6. Chapter Summary 33](#_Toc127368886)

[CHAPTER 04. INITIAL IMPLEMENTATION 34](#_Toc127368887)

[4.1. Chapter Overview 34](#_Toc127368888)

[4.2. Technology selection 34](#_Toc127368889)

[4,2.1. Technology stack 34](#_Toc127368890)

[4.2.2. Data selection 35](#_Toc127368891)

[4.2.3. Programming Language Selection 35](#_Toc127368892)

[4.2.4. Development Framework Selection 35](#_Toc127368893)

[4.2.5. Libraries Utilized 36](#_Toc127368894)

[4.2.6. IDE’s Utilized 37](#_Toc127368895)

[4.2.7. Summary of Technology Selection 38](#_Toc127368896)

[4.3. Implementation of Core Functionalities 38](#_Toc127368897)

[4.3.1. Automated Hyperparameter Search & Model Training 38](#_Toc127368898)

[4.3.2. Model Usage General & Domain Specific Users. 40](#_Toc127368899)

[4.3.3. Model Retraining. 41](#_Toc127368900)

[4.3.4. Data Preprocessing 41](#_Toc127368901)

[4.3. Chapter Summary 42](#_Toc127368902)

[CHAPTER 05. CONCLUSION 43](#_Toc127368903)

[5.1. Chapter Overview 43](#_Toc127368904)

[5.2. Deviations 43](#_Toc127368905)

[5.3. Initial Test Results 43](#_Toc127368906)

[5.4. Required Improvements 45](#_Toc127368907)

[5.5. Demo of the Prototype 45](#_Toc127368908)

[5.6. Code Reference 45](#_Toc127368909)

[5.7. Chapter Summary 45](#_Toc127368910)

[REFERENCES I](#_Toc127368911)

[APPENDIX A – SRS V](#_Toc127368912)

[A.1. Requirement Elicitation Methodologies V](#_Toc127368913)

[A.2. Interview Participant Information V](#_Toc127368914)

[A.3. Self-Evaluation (Competitor Analysis) VI](#_Toc127368915)

[A.4. Use case Descriptions VI](#_Toc127368916)

[A.5. Functional Requirements XII](#_Toc127368917)

[A.6. Usecase mappings XII](#_Toc127368918)

[APPENDIX B – DESIGN XIV](#_Toc127368919)

[B.1. Design goals XIV](#_Toc127368920)

[B.2. UI Wireframes XV](#_Toc127368921)

[APPENDIX C – IMPLEMENTATION XVIII](#_Toc127368922)

[C.1. Data Preprocessing XVIII](#_Toc127368923)

[APPENDIX D – CONCLUSION XXII](#_Toc127368924)

[D.1. Project Initial Plan XXII](#_Toc127368925)

[D.2. Project Current Progress XXIII](#_Toc127368926)

[D.3. Initial Test Evaluation Results. XXIV](#_Toc127368927)

# **List of Tables**

[Table 1: Research Objectives (*Self-Composed*) 4](#_Toc127367986)

[Table 2: Stakeholder viewpoints & Requirements (*self-Composed*) 11](#_Toc127367987)

[Table 3: Requirement elicitation methodologies (*Self-Composed*) 12](#_Toc127367988)

[Table 4: Literature review findings (*Self-Composed*) 13](#_Toc127367989)

[Table 12: Survey analysis (*Self-Composed*) 14](#_Toc127367990)

[Table 13: Survey thematic analysis (*Self-Composed*) 17](#_Toc127367991)

[Table 14: Interview thematic analysis (*Self-Composed*) 18](#_Toc127367992)

[Table 6: Dataset sources (*Self-Composed*) 35](#_Toc127367993)

[Table 7: Development framework utilized (*Self-Composed*) 36](#_Toc127367994)

[Table 8: Libraries used with reasonings (*Self-Composed*) 36](#_Toc127367995)

[Table 9: IDE’s used along with justifications (*Self-Composed*) 37](#_Toc127367996)

[Table 10: Summary of Technology selection (*Self-Composed*) 38](#_Toc127367997)

[Table 11: Stakeholder groups (*Self-Composed*) V](#_Toc127367998)

[Table 15: Interview participant information (*Self-Composed*) V](#_Toc127367999)

[Table 16: Competitor Analysis (*Self-Composed*) VI](#_Toc127368000)

[Table 17: Use case description UC:01 (*Self-Composed*) VI](#_Toc127368001)

[Table 18: Use case description UC:02 (*Self-Composed*) VII](#_Toc127368002)

[Table 19: Use case description UC:10 (*Self-Composed*) VIII](#_Toc127368003)

[Table 20: Use case description UC:04 (*Self-Composed*) VIII](#_Toc127368004)

[Table 21: Use case description UC:05 (*Self-Composed*) IX](#_Toc127368005)

[Table 22: Use case description UC:06 (*Self-Composed*) X](#_Toc127368006)

[Table 23: Use case description UC:08 (*Self-Composed*) X](#_Toc127368007)

[Table 24: Use case description UC:09 (*Self-Composed*) XI](#_Toc127368008)

[Table 25: ‘MoSCoW’ priority levels (*Self-Composed*) XII](#_Toc127368009)

[Table 26: Usecase mappings (*Self-Composed*) XII](#_Toc127368010)

[Table 5: Design goals of the proposed system (*Self-Composed*) XIV](#_Toc127368011)

# **List of Figures**

[Figure 1: Rich picture diagram (*Self-Composed*) 9](#_Toc127368012)

[Figure 2: Stakeholder onion model (*self-Composed*) 10](#_Toc127368013)

[Figure 3: Context diagram (*Self-Composed*) 22](#_Toc127368014)

[Figure 4: Use case diagram (*Self-Composed*) 22](#_Toc127368015)

[Figure 5: Three-tiered architecture (*Self-Composed*) 28](#_Toc127368016)

[Figure 6: Data flow diagram - level 01 (*Self-Composed*) 31](#_Toc127368017)

[Figure 7: Data flow diagram - level 02 (*Self-Composed*) 32](#_Toc127368018)

[Figure 8: System process flow chart (*Self-Composed*) 33](#_Toc127368019)

[Figure 9: Technology stack (*Self-Composed*) 34](#_Toc127368020)

[Figure 11: Hyperparameter search using Optuna (*Self-Composed*) 39](#_Toc127368021)

[Figure 12: Hyperparameter results and training arguments (*Self-Composed*) 39](#_Toc127368022)

[Figure 14: General user review text summarization (*Self-Composed*) 40](#_Toc127368023)

[Figure 16: Domain Specific text review summarization (*Self-Composed*) 40](#_Toc127368024)

[Figure 17: Fetching related data for model retraining (*Self-Composed*) 41](#_Toc127368025)

[Figure 18: Evaluation result for bart-base model (*Self-Composed*) 43](#_Toc127368026)

[Figure 19: Evaluation result for t5-base model (*Self-Composed*) 44](#_Toc127368027)

[Figure 20: Evaluation result for pegasus-base model (*Self-Composed*) 44](#_Toc127368028)

[Figure 21: UI – Home page (*Self-Composed*) XV](#_Toc127368029)

[Figure 22: UI – Login page (*Self-Composed*) XVI](#_Toc127368030)

[Figure 23: UI – Register page (*Self-Composed*) XVI](#_Toc127368031)

[Figure 24: UI – Review history page (*Self-Composed*) XVII](#_Toc127368032)

[Figure 25: Preprocessing – Remove hyperlinks (*Self-Composed*) XVIII](#_Toc127368033)

[Figure 26: Preprocessing: Remove html tags (*Self-Composed*) XVIII](#_Toc127368034)

[Figure 27: Preprocessing: Char words extension (*Self-Composed*) XIX](#_Toc127368035)

[Figure 28: Preprocessing: Handling common contractions (*Self-Composed*) XIX](#_Toc127368036)

[Figure 29: Preprocessing: Removing special characters (*Self-Composed*) XX](#_Toc127368037)

[Figure 30: Preprocessing: Resolving spelling mistakes (*Self-Composed*) XX](#_Toc127368038)

[Figure 31: Preprocessing: Removing duplicates (*Self-Composed*) XXI](#_Toc127368039)

[Figure 32: Preprocessing: Restoring missing punctuations (*Self-Composed*) XXI](#_Toc127368040)

[Figure 33: Preprocessing: Grammarly correction (*Self-Composed*) XXI](#_Toc127368041)

[Figure 34: Gantt chart: Initial plan (*Self-Composed*) XXII](#_Toc127368042)

[Figure 35: Gantt chart: Current plan (*Self-Composed*) XXIII](#_Toc127368043)

[Figure 36: bart-base validation accuracy graph (*Self-Composed*) XXIV](#_Toc127368044)

[Figure 37: bart-base validation loss graph (*Self-Composed)* XXIV](#_Toc127368045)

[Figure 38: t5-base validation accuracy graph (*Self-Composed*) XXIV](#_Toc127368046)

[Figure 39: t5-base validation loss XXIV](#_Toc127368047)

[Figure 40: pegasus-base validation accuracy graph (*Self-Composed*) XXV](#_Toc127368048)

[Figure 41: pegasus-base validation accuracy graph (*Self-Composed*) XXV](#_Toc127368049)

**Acronyms**

|  |  |
| --- | --- |
| AI | Artificial Intelligence. |
| DL | Deep Learning |
| GUI | Graphical User Interface |
| ML | Machine Learning |
| NLP | Natural Language Processing |
| ROUGE | Recall-Oriented Understudy for Gisting Evaluation. |
| BLEU | BiLingual Evaluation Understudy. |
| T5 | Text to Transfer Transformer. |
| BART | Bidirectional Auto-Regressive Transformers. |
| BERT | Bidirectional Encoder Representations from Transformers. |
| PEGASUS | Pre-training with Extracted Gap-sentences for Abstractive Summarization Sequence-to-sequence |
| ILP | Inductive logic programming. |
| LSTM | Long Short-Term Memory. |
| RNN | Recurrent Neural Network. |
| CNN  SEQ2SEQ | Convolutional Neural Network.  Sequence to Sequence |
| RoBERTa | Robustly Optimized BERT Pre-training Approach |
| GPT-3  REST  GPU  API | Third Generation Generative Pre-Trained Transformer  Representational State Transfer  Graphical Processing Unit  Application Programming Interface |

# **CHAPTER 01. INTRODUCTION**

# **1.1. Chapter Overview**

In this chapter, a series of top-tier pretrained transformer designs are optimized using automated search hyperparameter optimization in an effort to improve the performance of abstractive text summarization for movie reviews while developing a generalized solution that may be used in other domains. Along with a review of previous studies and a presentation of the anticipated project timetable, the research problem, gap, challenge, and method will be discussed in the work plan.

# **1.2. Problem Domain**

## **1.2.1 Movie User Reviews**

A growing number of websites, like Amazon and the Internet Movie Database (IMBD), a website for movie reviews, allow users to publish reviews for things they are interested in, along with the growth of Web 2.0, where user interaction is prioritized. (Khan, Gul, Zareei, et al., 2020)

Online movie reviews are evolving into an important information source for users, with the continuous increase in data on the web (M and Mehla, 2019). However, online users post a significant number of movies reviews every day, hence making it difficult for them to manually summarize the reviews and determine their interest in the film. One of the challenging problems in natural language processing is mining and summarizing movie reviews. (Khan, Gul, Uddin, et al., 2020).

Text summary assist users or business decision-makers by compiling and analyzing a significant number of online reviews. (Alsaqer and Sasi, 2017).

These days, the majority of people research a film's reviews before selecting or watching it on any platform, such Netflix or Amazon Prime, but we also come across conflicting reviews that can be either good or bad. While most reviews are detailed and require a significant amount of time to review, this develops a problem where users aren't able to make quicker decisions. Therefore, by summarizing the review makes it easier and faster for users to make decisions. This can also help streaming services like Netflix quickly discover the viewing habits or preferences of their users (Dashtipour et al., 2021)

## **1.2.2 Text Summarization**

Today, there is a lot of textual material available, including news stories and reviews. Text summarizing helps us quickly find the key elements of the full piece by minimizing the quantity of text. (Mahajan et al., 2021).

Extractive summarization and abstractive summarization are typically the two methods of text summarization. When extractive summarizing, the most important lines from the context or article are plucked out without being altered in any way. Meanwhile, abstractive summarizing aims to create the sentences on its own and creates the summary; this is superior than extractive summarization since it is more meaningful to generate our own phrases inside the context rather than to utilize selected sentences from the context without any change. (Etemad, Abidi and Chhabra, 2021).

## **1.2.3 Transformers**

Transformers in NLP is a novel architecture that aims to solve sequence-to-sequence tasks while handling long range dependencies with ease. It has surpassed competing neural models like CNN (Convolutional Neural Nets) and RNN (Recurrent Neural Nets) in terms of performance to appear as the dominant architecture for natural language processing (Wolf et al., 2020).

Transformers uses self-attention mechanism to target on selected areas of the input sentence followed by the encoder and decoder architecture (Etemad, Abidi and Chhabra, 2021).

# **1.3. Problem Definition**

In the domain of movie review summarization, currently there are no researches done using the latest deep learning approaches (***such as Transformers***) to solve this problem, standard machine & deep learning algorithms such as Naïve Bayes, RNN have been used, the usage of advanced deep learning approaches can be utilized in order to enhance the quality/accuracy of the text summarization.

Deep learning models take longer to train but they provide greater accuracy since they can simultaneously automate feature extraction and classification, whereas machine learning algorithms require feature selection at first. Therefore, applying deep learning techniques will help to improve the quality of text summarization and help the user in making better decisions (Etemad, Abidi and Chhabra, 2021).

## **1.3.1 Problem Statement**

No prior research has looked into applying cutting-edge deep learning methods like Transformers to produce abstractive summaries from movie reviews, which can improve text summarization. This solution aims to be generic and accessible to any sector. (Khan, Gul, Zareei, et al., 2020).

# **1.4. Research Questions**

**R**Q1: What are the top tier transformer architectures widely used and know for NLP problems related to text summarization?

**R**Q2: How can a pretrained transformer architecture be fine-tuned to get the optimal hyper parameters and to automate it for model retraining?

**R**Q3: What kind of evaluations should we perform after fine-tuning to filter out the best transformer architecture?

**R**Q4: How can domain generalization be integrated for system?

# **1.5. Research aim & Objectives**

## **1.5.1 Research Aim**

***The aim of this research is to design, develop and evaluate an optimal adaptive generalized transformer architecture from a range of popularly used architectures by fine-tuning via automated hyperparameter optimization, therefore obtaining the recommended architecture's optimum performance***

A fully working system that can be utilized to perform abstractive text summarization based on the user input from any domain (movie, hotel, ecommerce etc.…) will be created by this research project. The quality of the resulting text summary or performance optimization will be the **main points** of emphasis. To get the best result, the usage of data preparation, data analysis, conducting hyperparameter tuning, and evaluating the models will be investigated.

Components will be built, necessary information will be gathered and researched, and performance will be assessed. The system may be utilized for private or public purposes on both a hosted server and a local browser. The data science models' source code will be made available in a public repository for future research and use. A research paper will be published and it end of this study.

## **1.5.2 Research Objectives**

For the research to be considered successful, its goals must be fulfilled.

Table 1: Research Objectives (*Self-Composed*)

|  |  |  |  |
| --- | --- | --- | --- |
| **Objective** | **Description** | **LO** | **RQ** |
| Literature Review | Complete a thorough critical review of earlier related work.  **RO1:** Make a preliminary investigation on existing abstractive text summarization using deep learning approaches.  **RO2:** Make a preliminary investigation on why transformers architecture was the chosen deep learning choice for this research.  **RO3:** Analyze the top tier transformer architectures widely used.  **RO4:** Analyzing how the models can be fine-tuned via hyperparameter optimization.  **RO5:** Analyzing the different approaches used for model evaluation.  **RO6:** Analyze how the model can be generalized for every other domain. | LO1, LO4,  LO8 | [**R**Q1](#_1.4._Research_Questions),[**R**Q2](#_1.4._Research_Questions),[**R**Q3](#_1.4._Research_Questions),[**R**Q4](#_1.4._Research_Questions) |
| Methodology Selection and SLEP Framework | This defines the outline structure for the requirement analysis and the design process followed by the social legal ethical and professional issues.  **RO1**: Analyzing the Research Methodology approaches.  **RO2**: Analyzing the Development Methodology approaches.  **RO3**: Analyzing the Project Management Methodology approaches.  **RO4**: Analyzing the Solution Methodology approaches.  **RO5**: Analyzing the Social, Legal Ethical and Professional Issues which could develop during the phase of the project. | LO2,  LO6 | [**R**Q4](#_1.4._Research_Questions),[**R**Q2](#_1.4._Research_Questions),[**R**Q1](#researchq1) |
| Requirement Elicitation | Establishing the project's requirements using appropriate methods and instruments to address the anticipated research gaps and challenges based on related past research.  **RO1:** Gathering information related to the expected metadata required for the dataset to contain for the model training.  **RO2:** Gathering the requirements of transformer architectures for fine-tuning and understand the end to end user expectations.  **RO3:** Getting insights from domain experts to build a suitable system.  **RO4:** Gathering the requirements for handling generalization. | LO1, LO3, LO5 | [**R**Q4](#researchq1),[**R**Q2](#researchq1),[**R**Q1](#researchq1) |
| Design | Considering the following when developing the suggested system:  **RO1:** Design a component to preprocess the dataset for the respective model inputs.  **RO2:** Design a component to store the top tier transformer models with their respective metadata, to use throughout.  **RO3:** Design a hyperparameter tuning component that can improve accuracy of the transformer model.  **RO4**: Design high-level architecture for the system. | LO1, LO5 | [**R**Q2](#researchq2) |
| Implementation | Setting up a mechanism capable of addressing the gaps that were intended to be covered.  **RO1**: To develop data preprocessing component.  **RO2**: To develop a component that handles and stores the top tier transformer architectures for fine-tuning.  **RO3**: To develop the automated hyperparameter search component that handles all the top tier architectures assigned.  **RO4**: To develop a component for the model evaluations for the measured hyperparameters | LO1, LO5, LO7 | [**R**Q2](#researchq2),[**R**Q3](#researchq2) |
| Evaluation | Testing and evaluating the developed system (including the data science models with the suitable metrices)  **RO1**: Performing unit test, integration and performance testing along with a test plan created.  **RO2**: Evaluating all the transformer architectures used for fine-tune experimentations, using recommended scores such as (ROUGE or BLEU SCORE). | LO1,  LO5 | [**R**Q3](#researchq3) |
| Documentation | Keeping track of and documenting the study project's ongoing progress and any challenges encountered. | LO6, LO8 | - |
| Publication | Ensure that the documentation, reports, and papers are well-structured and include a critical analysis of the research.  **RO1**: To publish a research paper on the related work done.  **RO2**: To publish the testing & evaluation results of the work done.  **RO3**: To publish the code implementation repository as public to be access by future research investigations, along with the models and datasets | LO4,  LO8 | - |

# **1.6. Novelty of the Research**

## **1.6.1 Problem Novelty**

The problem novelty of this research is, the lack of attempt to increase transformer performance in order to get better textual summarizing outcomes (Khan, Gul, Zareei, et al., 2020).

## **1.6.2 Solution Novelty**

The solution novelty for this problem is performing an automated approach for hyperparameter tuning & creating a retraining mechanism with newly exposed data to enhance its performance further using the optimal transformer (Etemad, Abidi and Chhabra, 2021)..

# **1.7. Research Gap**

Based on previous work done (Khan, Gul, Zareei, et al., 2020) related to abstractive text summarization on movie reviews, the literature identify for the need of using advanced deep learning approaches to improve the performance of text summarization for this movie domain over traditional machine learning approach.

This project focuses on Empirical gap in the Movie Domain, as well as Theoretical and Performance gaps in the area of transformer optimization. Transformers plays a major role in the field of deep learning especially at problems related to Natural Language Processing, by performing hyperparameter optimization on several transformer architectures we can contribute to the enhanced quality of abstractive text summarization and create a generalized model which can be adapted with the respective domains usage and improve the performance.

# **1.8. Contribution to the Body of knowledge**

Improving the performance of an existing solution is very common in the field of data science, as we can explore new algorithms or fine-tuning existing algorithms to get better results. The contributions for this project can be classified as theoretical contributions and domain contributions.

The following is a summarization of the authors contribution:

* ***Abstractive Text Summarization:*** *Automated Hyperparameter optimization + Model Retraining + Transformers + Deep Learning*
* ***Movie User Review & Generalization:*** *Research domain target is for Movie reviews, in addition the author makes the system generalized to adapt to any domain area*.

## **1.8.1 Research Domain Contribution**

There are various deep learning techniques that can be used to handle abstractive text summarization, however with respect to previous researches done, (Zhang, Xu and Wang, 2019) it is found that ***transformers*** outperform most of the other deep learning approaches as of today but there was no much research on optimizing them for a much better performance.

This research will be focused on creating a ***generalized******solution***by achieving the optimized transformer architecture from a couple of the top tier existing architectures, via fine-tuning and performing hyperparameter optimization along with handling abstractive text summarization (Liu and Wang, 2021), therefore we are able to maximize the performance of the recommended architecture. The author plans out to make use of generalization where any domain when used the model will be optimizing and adapting towards their respective domain.

## **1.8.2 Problem Domain Contribution**

Neural Networks makes up the backbone of deep learning algorithms which enables them to process complex unstructured data over normal means of machine learning algorithms (Mahajan et al., 2021). It is found that, the need for using advanced deep learning approaches has not been explored in the domain of movie review summarization.

Given that transformers perform well in this field, the proposed solution for this domain will be finding the recommended architecture along with hyper-parameter optimization, to reach its best performance. An additional contribution will be that, the proposed solution will be generalized to any other domain linked with the field of NLP text summarization.

# **1.9. Research Challenge**

The main objective of this research is to achieve the generalized optimal transformer architecture for the field of NLP abstractive text summarization. Transformers were introduced in 2017 by a team at Google Brain and are the most used choice for NLP problems replacing RNN models, given that this architecture was introduced not much longer back brings to a point where there is a lack of research done in the area of transformer optimization for the purpose of abstractive text summarization. (Wolf et al., 2020).

Therefore, creating and finding the recommended transformer architecture along with the optimal parameters which also handles generalization becomes a challenge with very fewer resources to look up to.

Additionally, identifying suitable datasets for this domain (Movie Reviews Summarization) and Generalization is challenging and necessitates a substantial amount of effort in data preprocessing where it is important since we are dealing with NLP and performance optimization related domain.

# **1.10. Chapter Summary**

The author outlined the research effort, explained why the research and problem were innovative, and discussed potential challenges that could develop while attempting to tackle them in this chapter. Additionally, the key goals that must be attained for the research to be deemed successful were outlined and connected to the necessary learning outcomes for the degree.

# **CHAPTER 02. SOFTWARE REQUIREMENTS SPECIFICATION**

# **2.1. Chapter Overview**

In this chapter, the author describes how to identify the essential needs and how to gather them. To carefully record the engagement of possible stakeholders, their interaction points, and their separate responsibilities, a rich picture diagram and stakeholder onion model are used. The chapter also discusses the methods used for requirement gathering and the results that were used to create functional and non-functional requirements, use case diagrams, and prototypes.

# **2.2. Rich Picture**



Figure 1: Rich picture diagram (*Self-Composed*)

The diagram above depicts a bird's-eye view of the surrounding region, as well as how certain stakeholders might interact with the system and profit from it. Along with the knowledge gained by the researcher to improve the system, the potential negative impacts on the design and prospective critical analyses are also identified.

# **2.3. Stakeholder Analysis**

The section that follows acknowledges significant stakeholders involved with the system, their relationships, and their individual roles. The stakeholder onion model represents this information, and stakeholder perspectives elaborate on it.

## **2.3.1 Stakeholder Onion Model**



Figure 2: Stakeholder onion model (*self-Composed*)

## **2.3.2 Stakeholder Viewpoints**

Table 2: Stakeholder viewpoints & Requirements (*self-Composed*)

|  |  |  |
| --- | --- | --- |
| **Stakeholder** | **Role** | **Benefits/Description** |
| Developer | Functional beneficiary | Works on developing the system |
| Investors | Profit is generated through system investment and money from marketing and user subscriptions. |
| Product Owner | Owner & Operational admin | Owns the system and has control over the system |
| Data Scientists | Quality Control Regulator | Provides performance optimizations for data science models and algorithms. |
| Data Engineers | Provides information on prospective data that might be utilized to provide the best possible suggestions. |
| AI Researchers | Conduct research in the specified area to enhance and implement reliable text summarizing models. |
| NLP Experts | Provides professional advice and insights into the subject to improve the system's performance. |
| Domain Specific Manager | Operational Beneficiary | Model retraining will be performed with the new data entered into the system for summarization. |
| General Users | Summarization output will be general for the user. |
| Operational Staff | Ensures that the system is up and functioning while responding to user requests and problems. |
| DevOps Engineers | Product Deployment & Maintenance | Makes ensuring the system is up and running in the cloud and is serving users without being throttled |
| Hackers | Negative Stakeholder | May manipulate the review data stored in the database which will affect the retraining process. |
| Competitors | May build competing systems that may outperform the existing system. |
| Evaluators | Quality Inspector | Analyzes and tests the system to verify if it is ready for production usage. |
| Supervisor | Checks to see if the system development is progressing well without any issues. |

# **2.4. Selection of Requirement Elicitation Methodologies**

Various methods were used, including literature reviews, surveys, interviews, prototyping, brainstorming, and self-evaluation, to gather the needs for the research project. Thereafter, the author explains why these particular requirement elicitation techniques were chosen.

Table 3: Requirement elicitation methodologies (*Self-Composed*)

|  |  |
| --- | --- |
| **Method** | **Description** |
| Literature Review | In order to find research gaps in the area of interest they had selected and the planned topic of their study, the author first did a thorough literature review. To identify research gaps that can be filled with the use of technology, the author investigated current systems and associated technologies. |
| Survey | As a survey tool, a questionnaire was used to gather requirements and viewpoints from potential users of the recommended system. This kind of survey will help the author understand how people are thinking about and anticipating the prototype. It will also allow the author to justify whether or not the chosen solution would help the intended users. |
| Interviews | Interviews were conducted to get expert insight into domain-specific requirements and to choose the most effective strategy for resolving the current problem while expanding the corpus of knowledge. Since the field is new and the level of technical competence required is quite specific, interviews were found to be the best source of information. This method provided for a qualitative assessment of the proposed system, enabling the detection of any flaws or problems that would need to be fixed during prototyping. |
| Prototyping | Prototyping would enable the author to test and assess the prototype while repeatedly trying out numerous different implementations to uncover any possible areas for improvement because the project was chosen to follow the Agile Software Development Life-cycle. |
| Brainstorming | Whether you're attempting to come up with a broad subject, focus more narrowly, or decide what evidence to utilize for a single paragraph, brainstorming is a valuable strategy for generating ideas at every stage of the research process. The author conducted several brainstorming meetings with colleagues at various project phases to assess the system. |
| Self-Evaluation | Self-evaluation is done in order to examine the currently available applications, do competitor analyses on the current systems, and get insight into how negative stakeholders, such as hackers, can breach the system and find a way around to protect the data and the system. |

# **2.5. Discussion of Findings**

The relevant key stakeholders are split up into groups where the chosen best methodology was used for each group. [**APPENDIX A.1**](#_B.1._Requirement_elicitation) contains a complete breakdown of these stakeholders.

## **2.5.1 Literature Review**

Table 4: Literature review findings (*Self-Composed*)

|  |  |
| --- | --- |
| **Discussion of Findings** | **Citation** |
| In the completion of the literature review on the existing work done, it was identified that abstractive text summarization systems for customer reviews helps users to make better and quicker decisions on their actions let it be on buying products or watching a movie, user review summarization proves to save time for customers. | (Boorugu, Ramesh and Madhavi, 2019) |
| When exploring technologies that can be applied to achieve the required outcome, it was clear that traditional machine learning and deep learning approaches were only used for abstractive text summarization in the domain of movie reviews. Leaving the usage of advanced deep learning approaches such as Transformers untouched for this domain. | (Khan et al., 2020) |
| It was identified that transformer optimization has not been looked into when working with transformers in abstractive text summarization domain in general and not specific to the movie domain. | (Gupta et al., 2021) |
| Dataset related to working with model generalized has been used previously and is recommended to be used if researchers are willing to work with the idea of generalization for the domain of abstractive text summarization. | (Kouris, Alexandridis and Stafylopatis, 2019) |

## **2.5.2 Brainstorming**

The author engaged in brainstorming across various project phases. These were carried out both with the authors' colleagues and supervisors as well as through a self-analysis process.

Table 5: Observations findings (*Self-Composed*)

|  |  |
| --- | --- |
| **Criteria** | **Discussion of Findings** |
| Able to figure out several other research gaps/ limitations which can be fit into the current project domain in order to increase the magnitude of research effort. | Multiple ideas were brought up as the result of the brainstorming session. The concept of creating a performance adaptive generalization model was brought up by the authors supervisor, along with several other approaches to increase the performance of the system exponentially such like making use of the new data from the domain users for retraining and combine all data with the common domain for retraining since the data count increases with respect to the common domain user. |

## **2.5.3 Survey**

Table 5: Survey analysis (*Self-Composed*)

|  |  |
| --- | --- |
| **Question** | Have you ever realized that reading lengthy reviews takes a significant amount of time? |
| **Aim of question** | To determine whether the audience as a whole considers reading lengthy reviews to be a time-consuming activity. |
| **Findings & Conclusion**  It can be concluded that a large part of the audience (more than 90% of the audience) finds that’s reading lengthy reviews is a time-consuming hassle which also proves that they would appreciate if there would be a quicker approach for this problem, like a summarization. This also concludes to see a positive correlation from the results which was expected from the author of the project. | |
| **Question** | Do you believe that developing a generic system for all domains would be a wise course of action? |
| **Aim of question** | Ensuring that developing a generic system would be beneficial in all domains |
| **Findings & Conclusion**  It can be concluded that most of the participants (more than 90% of the audience) agrees that developing a generalized system which can adapt to the domain as they use, is beneficial and worth the effort to process with the project research. This also concludes to see a positive correlation from the results which was expected from the author of the project | |
| **Question** | Who do you think will most benefit from this system? |
| **Aim of question** | Getting to know about the thoughts of the participants about to whom the system would mostly benefit from? |
| **Findings & Conclusion**  It can be concluded that a majority of the participants (more than 60%) finds that this system will benefit the movie, restaurant, tourist, hotel, ecommerce domains (these domains were considered since they are mostly interacted with the users on a daily bases and uses customer reviews for their domain as a part of their business) as well as the general users. | |
| **Question** | How much do you think that this system would benefit you? |
| **Aim of question** | Getting to know how much the system would benefit the general participants which are NOT domain specific |
| **Findings & Conclusion**  From the statistics graph, it can be concluded that roughly 75% of the audience finds that the system would benefit them for their general work or needs given that it’s not domain specific to them, which is a positively correlated result from the achieved statistics. | |
| **Question** | How much do you think that this system would benefit businesses? |
| **Aim of question** | Getting to know from the participants as to how much the system would benefit businesses/domains in solving this problem. |
| **Findings & Conclusion**  From the statistics graph, it can be concluded that roughly 84% of the audience finds that the system would benefit the businesses, which is a positively correlated results from the achieved statistics and that’s what the author expected to achieve. | |
| **Question** | Before making a reservation or booking a movie or a hotel, do you read the customer reviews? |
| **Aim of question** | Getting an idea from the audience if in general they give importance to customer/user reviews to any domain before consuming their product or services. |
| **Findings & Conclusion**  It can be concluded that a majority of the participants (more than 95% of the audience) agrees that they value and read customer reviews before they consume one’s product or service. Therefore, making customer reviews a major contributing factor for business growth. | |
| **Question** | How much you think customer reviews are important with respect to any domain? |
| **Aim of question** | Getting an idea from the audience to see how much they value customer reviews. |
| **Findings & Conclusion**  From the statistics graph, it can be concluded that roughly 90% of the audience finds that customer/user reviews are very important irrelevant to the domain, which is a positively correlated results from the achieved statistics and that’s what the author expected to achieve. | |
| **Question** | Which additional features would you want to see in this system. |
| **Aim of question** | To identify the systems non-functional requirements which could potentially improve the system. |
| **Findings & Conclusion**  The majority of participant responses were concerned with classifying the review text's sentiment after it had been summarized and with managing a list of review uploads so as to add filtering for the summarized review text based on the sentiment, whether it was positive or negative, along with the sentiment score. | |

Table 6: Survey thematic analysis (*Self-Composed*)

|  |  |
| --- | --- |
| **Code** | **Theme** |
| Convenience | User-friendly |
| Adjustability | Flexibility |
| **Theme** | **Conclusion** |
| Convenience | A group of participants required to upload more than one review and a time/bulk at once. |
| Adjustability | A majority of the participants requested for sentiment of the summary and the sentiment score to be also included with the output. |

## **2.5.4 Interviews**



Interviews with experts and researchers in the relevant domains were performed to obtain insights on the technical domain competence. To determine the project requirements, experts and researchers in ML and abstractive text summarizing systems were chosen. 2 PhD candidate in ML and Computational Linguistics, 1 NLP Researcher, 2 Software Architects, 1 Software Engineer and 1 Lecturer with MSc completion were interviewed. The interview outcomes were processed to a **thematic analysis** based on the following themes. Interview participant details can be found at over [**APPENDIX A.2**](#_A.2._Interview_Participant)

Table 7: Interview thematic analysis (*Self-Composed*)

|  |  |
| --- | --- |
| **Code** | **Theme** |
| Data handling | Data Collection & Data Preprocessing |
| Transformer architectures | Best performing transformer architectures |
| Generalization | Handling adaptive generalization |
| Research scope | Research gap and scope |
| Hyperparameter tuning | Automatic hyperparameter tuning & model retraining |
| Hybrid transformers | Looking into hybrid transformer combinations |
| Custom transformers | Customizing the transformer architecture |
| Prototype | Prototype features and suggestions |
| Business benefits | Understanding which and how businesses would benefit |
| Evaluations | Understanding the importance and evaluation ways |

|  |  |
| --- | --- |
| **Theme** | **Conclusion** |
| Data handling | Data accessibility and data preparation techniques are crucial considerations for a data science project. Since every domain would initially employ the same model, PhD candidates proposed utilizing validated and well-researched datasets for the field of generalization to ensure the quality of data. Since text data may contain characters from other languages unless the project is restricted to English language support alone, NLP experts raised worry about the language of the text included in the project scope. |
| Transformer architectures | The interviewees stated that NLP tasks like text summarization and sentiment analysis may be successfully solved using transformer designs like BERT, GPT-2, Roberta, T5, and others. They advised using the most recent version of these models because they are frequently upgraded and developed in new, improved forms. To keep track of these upgrades, they advised examining daily analytics from websites like Hugging Face, such as download and like counts. |
| Generalization | Due to their scalability and performance advantages, the software engineers and architects suggested employing NoSQL databases like MongoDB or Firebase for storing data related to domain specific managers. |
| Research scope | Experts agree that using optimized transformers to solve the issue is a brilliant idea, but given the project's time constraints, they advise prioritizing the movie domain and postponing the task of developing a broader adaptive solution. |
| Hyperparameter tuning | The NLP researchers and Lectures suggested several ways of using tools and libraries to help with hyperparameter tuning since doing this manually is very time consuming and unnecessary effort. |
| Hybrid transformers | The hybrid transformer combination with ensemble techniques was well-loved by PhD applicants, but many believed the project scope was growing too large and risky for the time available. |
| Prototype | Interviewees are interested in the novel domain-specific retraining system and recommended incorporating a pretrained model for sentiment analysis if time allows. |
| Business benefits | Most of the interviewees suggested the Movie domain, Tourism, Ecommerce, Book, Researchers would find this useful in summarizing their customer reviews on their businesses. |
| Evaluations | PhD candidates and NLP experts emphasized the need for evaluations in the adaptive generalization model and suggested limiting the project to a maximum of three domains for easier comparison and clearer demonstration. |

## **2.5.5 Self Evaluation**

Comparing similar products from competitors and existing products gives the author an idea of making the project more unique and distinguish new approaches to solve the problem (**Competitor Analysis**). The author will also self-evaluate as to what data needs to be protected and how from the hackers. Few of the abstractive text summarization tools which are out there are listed and is available at [**APPENDIX A.3**](#_B.4._Self-Evaluation_(Competitor).

In the case of hackers stealing data from the database, **data encryption** can be applied therefore database will only contain the encrypted text data which will be then later decrypted from the decryption key when need, this will be most needed when performing the model retraining.

## **2.5.6 Prototyping**

Table 6: Prototyping findings (*Self-Composed*)

|  |  |
| --- | --- |
| **Criteria** | **Discussion of Findings** |
| In-order to look into the feasibility of continuing the project research a prototype was planned to be worked on. | During the iterative prototype process, the author encountered several requirements and obstacles, including the challenge of finding a suitable dataset with desired metadata in the movie domain. After intensive evaluation, the author discovered a large dataset with 8 million entries, but preprocessing it was difficult due to its size and noisy text. To automate the hyperparameter search, the author experimented with a framework called "Optuna." The system will be retrained using new data from the domain user, and the author plans to study at least three top-tier transformer designs to choose the best one. |

## **2.5.7 Summary of Findings**

Table 7: Summary of findings (*Self-Composed*)

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Id** | **Finding** | **LR** | **Survey** | **Self-Evaluation** | **Interview** | **Brainstorming** | **Prototyping** |
| 1 | The proposed system would benefit businesses (domain specific users) and general users (not domain specific) |  | ✓ |  |  | ✓ |  |
| 2 | For the movie domain the limit of abstractive text summarization can be further pushed using optimized transformers to increase performance this being the existing limitation | ✓ |  |  | ✓ | ✓ |  |
| 3 | It’s clear that customer/user reviews are valued and reviewed mostly by a vast majority of the audience before they consume or use any product or service (applies to any domain) | ✓ | ✓ |  | ✓ | ✓ |  |
| 4 | It’s clear that users spend lot of time review long reviews and they would like it being short to save time and make quicker decisions. | ✓ | ✓ |  |  | ✓ |  |
| 5 | Hyperparameter tuning is one way to increase the performance of the system and it can be done both manually or by automated tools like Raytune, Optuna etc.… | ✓ |  |  | ✓ |  | ✓ |
| 6 | Data preprocessing for the domain of Movies and Generalization is requires a lot of effort since the datasets are mostly raw data difficult to find specially in the case of movie reviews (with expected metadata) | ✓ |  |  |  |  | ✓ |
| 7 | Additional features such as sentimental and sentimental score of the review summary is mostly expected from the users. |  | ✓ |  |  |  |  |
| 9 | It’s clear on what are the suitable evaluation metrics to be used for abstractive text summarization. | ✓ |  |  | ✓ |  |  |
| 10 | It’s clear on what the top tier transformer architecture that could be explored. | ✓ |  |  | ✓ |  |  |
| 11 | Making use of larger new data for retraining for a specific domain, from companies/businesses who uses data which are of the same domain. (e.g.: - 50 different restaurants data can be combined for retraining give that the domain is “Restaurants”) |  |  |  | ✓ | ✓ |  |
| 12 | Making use of data encryption to protect the data from hackers breaking into the database to steal data. |  |  | ✓ |  | ✓ |  |

# **2.6. Context Diagram**

The boundaries and interactions of the system should be established before development. The graphic below shows how the system is situated.

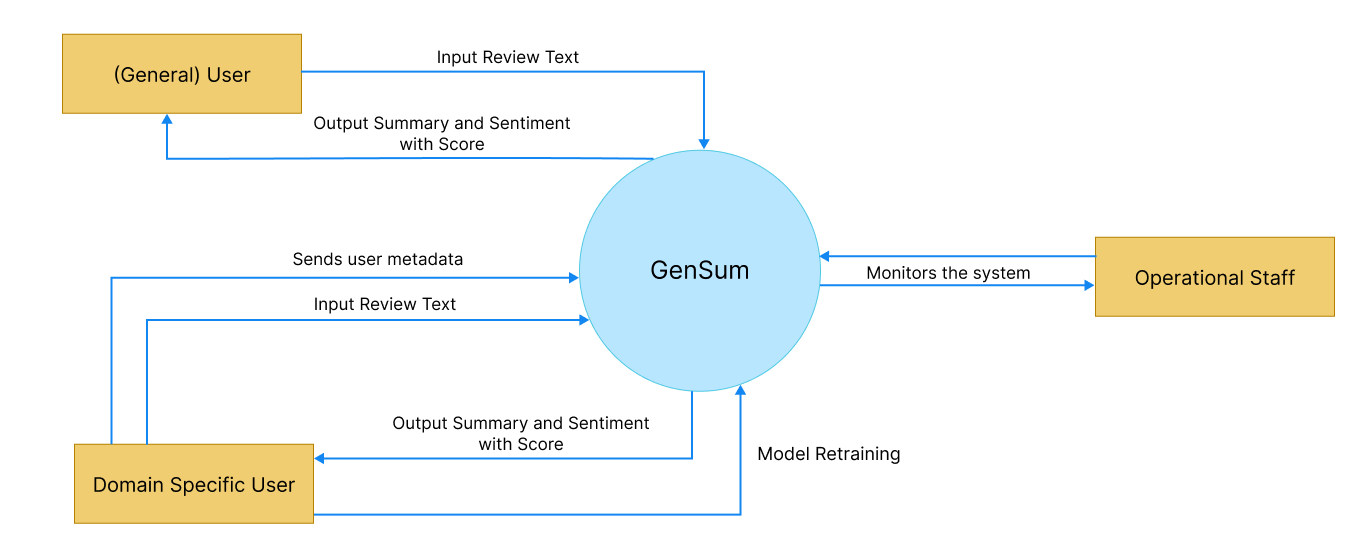


Figure 3: Context diagram (*Self-Composed*)

# **2.7. Use case Diagram**

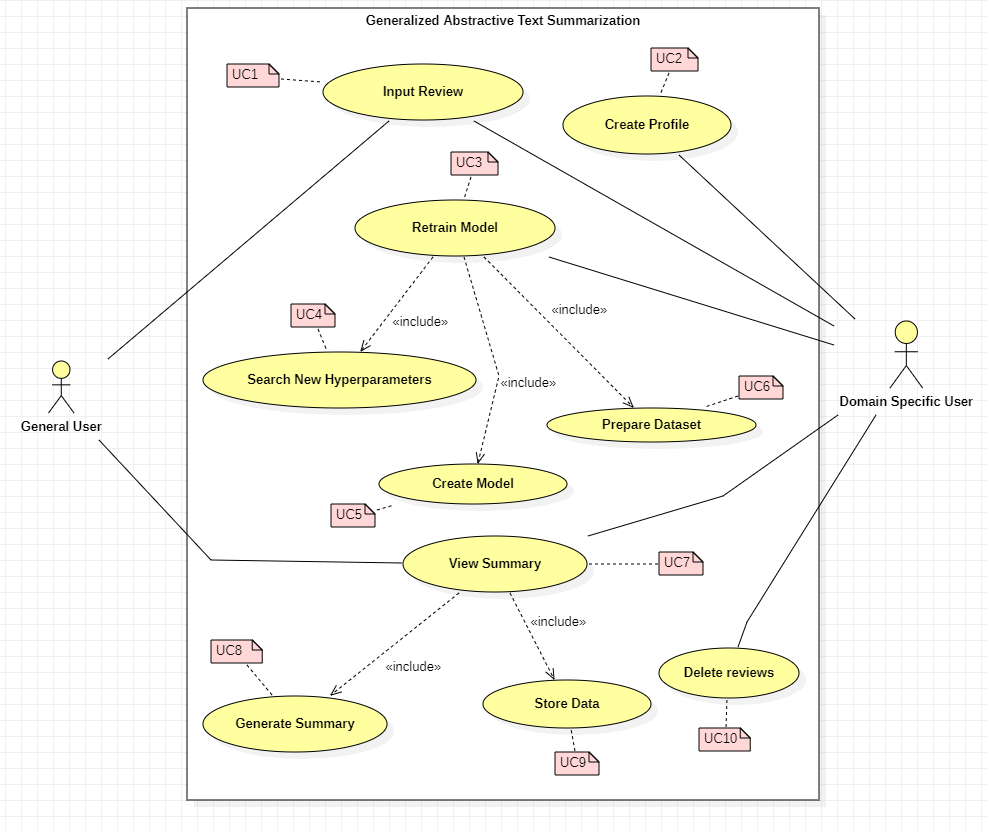


Figure 4: Use case diagram (*Self-Composed*)

# **2.8. Use case Descriptions**

Usecase diagrams with the highest importance are given below, the rest of the Usecase descriptors are available at [**APPENDIX A.4**](#_B.5._Use_case).

Table 8: Use case description UC:07 (*Self-Composed*)

|  |  |  |
| --- | --- | --- |
| Use Case Name | View Summary | |
| Use Case Id | UC:07 | |
| Description | Displays a summarized version of the uploaded review text from the domain user’s end. | |
| Primary Actor | General User, Domain Specific User | |
| Pre-Conditions | The text review data must go through specific text preparation techniques before the summary can be produced. | |
| Extended use cases | None | |
| Included use cases | UC10, UC02 | |
| Trigger | A user selects to summarize a given customer/user review text. | |
| Main flow | **Actor** | **System** |
| 1. The user enters the review text on the text field from the GUI.  2. Clicks on “Generate Summary” from the GUI | 3. The system does the data preprocessing for the input review text.  4. Loads the generalized transformer model.  5. Generates the summary using the model.  6. **(If Domain Specific User)** stores the input review and summary into the database.  7. Returns the summary response back to the GUI |
| Alternative flows | None | |
| Expectational flows | Displays an error message if the network request fails (server is down, or internet issues from client). | |
| Post Conditions | Success end condition: The user is presented with the summarized review text. | |

Table 9: Use case description UC:03 (*Self-Composed*)

|  |  |  |
| --- | --- | --- |
| Use Case Name | Retrain Model | |
| Use Case Id | UC:03 | |
| Description | Performs model retraining with the new data from the database, to find the new best set of hyperparameters. | |
| Primary Actor | Domain Specific User | |
| Pre-Conditions | The actor should be a Domain Specific User and have an account created. | |
| Extended use cases | None | |
| Included use cases | UC05, UC06, UC07 | |
| Trigger | The Domain Specific User clicks on the “Perform model retraining” button | |
| Main flow | **Actor** | **System** |
| 1. Domain Specific logs into their account  2. Clicks on “Perform model retraining” from the GUI | 3. The system pulls all the data with respect to the user id from the database.  4. Combines data of the common domains (only if user consent is given to use their data)  5. Finds new set of hyperparameters for the model with respect to new data.  6. Trains the model using the new hyperparameters.  7. Saves the model with the user Id  8. Updates the status in the database if succeed/fails |
| Alternative flows | None | |
| Expectational flows | Displays an error message if the network request fails (server is down, or internet issues from client). | |
| Post Conditions | Success end condition: The user will be able to see the recent status of the model if the retraining is successful or failed | |

# **2.9. Requirements**

## **2.9.1 Functional Requirements**

Based on the significance of the system demands, the ‘MoSCoW’ approach was utilized to identify their priority levels. The details related to the priority levels are detailed at [**APPENDIX A.5**](#_B.5._Functional_requirements).

The Usecase description along its mapping id is also listed at [**APPENDIX A.6**](#_A.6._Usecase_mappings)

Table 10: Functional requirements (*Self-Composed*)

|  |  |  |  |
| --- | --- | --- | --- |
| **FR ID** | **Requirement** | **Priority Level** | **Use Case** |
| FR1 | Both general and domain specific users must be able to enter a review text from the GUI considering as the starting point of the summary generation. | M | UC01 |
| FR2 | Only Domain Specific Users should be able to sign up and create an account after entering the necessary details required | S | UC02 |
| FR3 | The system could allow the ability to update the account details of the domain user after creating the account | C | UC02 |
| FR4 | The system must undergo model retraining with the new data stored in the database for the specific domain user, when its triggered from the GUI with the user’s consent. | M | UC03 |
| FR5 | The system could be able to perform model retraining automatically during off peak hours every day. | C | UC03 |
| FR6 | The system must be able to find the new set of best hyperparameters with the usage of the new data. | M | UC04 |
| FR7 | The system must be able to able to retrain the model with the new best hyperparameters and create the model | M | UC05 |
| FR8 | The system must be able to pull the new data from the database to recreate the new dataset for retraining. | M | UC06 |
| FR9 | The system should be able to combine all the data from a common group of domains when creating the dataset only given that the consent is approved to use their data | C | UC06 |
| FR10 | The system must be able to process the review text and display the summary output on the GUI | M | UC07 |
| FR11 | The system must be able to use the latest trained model to generate the summary for the review text | M | UC08 |
| FR12 | The system could generate the sentiment and the score for the summary generated | C | UC08 |
| FR14 | The system must store the entered user review and generated summary to be stored in the database for retraining purposes. | M | UC09 |
| FR15 | The system should encrypt the data when saving into the database (both the review and summary) | S | UC09 |
| FR14 | The system could allow the domain users to delete the reviews from the database. | C | UC10 |

## **2.9.2 Non-Functional Requirements**

The non-functional requirements are prioritized into two level of which are “Important” and “Desirable”

Table 11: Non-functional requirements (*Self-Composed*)

|  |  |  |  |
| --- | --- | --- | --- |
| **NFR ID** | **Requirement** | **Specification** | **Priority Level** |
| NFR1 | The system needs to be simple enough for non-technical individuals to utilize without much effort. | Usability | Important |
| NFR2 | Meaningful error messages should be displayed if anything goes wrong | Usability | Desirable |
| NFR3 | Summary generation should be done within 3000ms | Performance | Important |
| NFR4 | Following coding standards and best practices | Maintainability | Important |
| NFR5 | Any domain users are able to use the application and model performance will adapt with respect to the domain | Generalization | Important |
| NFR6 | The system should protect against data corruption by attackers, and testing can ensure this. | Security | Desirable |
| NFR7 | The prototype can be used by several domains and multiple businesses under a single domain, then the system may have to support many concurrent user-requests. | Scalability | Desirable |

# **2.10. Chapter Summary**

To illustrate how the system interacts with society and the system stakeholders in this chapter, a Rich Picture Diagram was developed. The Saunder's Onion model, which took the influence flow from each stakeholder into account, was used to depict the stakeholders. Requirement gathering techniques were utilized to obtain all the essential data and the opinions of possible system stakeholders. Finally, the use cases, functional requirements, and non-functional requirements for the system were specified using the knowledge gathered from the requirement elicitation methodologies.

# **CHAPTER 03. DESIGN**

# **3.1. Chapter Overview**

This chapter discusses the design decisions made to establish an appropriate architecture for implementation based on the requirements obtained. High-level design, low-level design, design diagrams, and UI wireframes have been used to describe how the design goals are meant to be achieved while demonstrating the reasoning for selected design decisions.

# **3.2. Design Goals**

The design goals of the proposed system along with the reasoning is available at [**APPENDIX B.1**](#_C.1._Design_goals)

# **3.3. High Level Design**

## **3.3.1. Architecture Diagram**

The system has a three-tier architecture that separates the data, logic, and presentation levels. The research contribution of the system includes generalization and domain-specific adaptive hyperparameter tuning and data preprocessing.

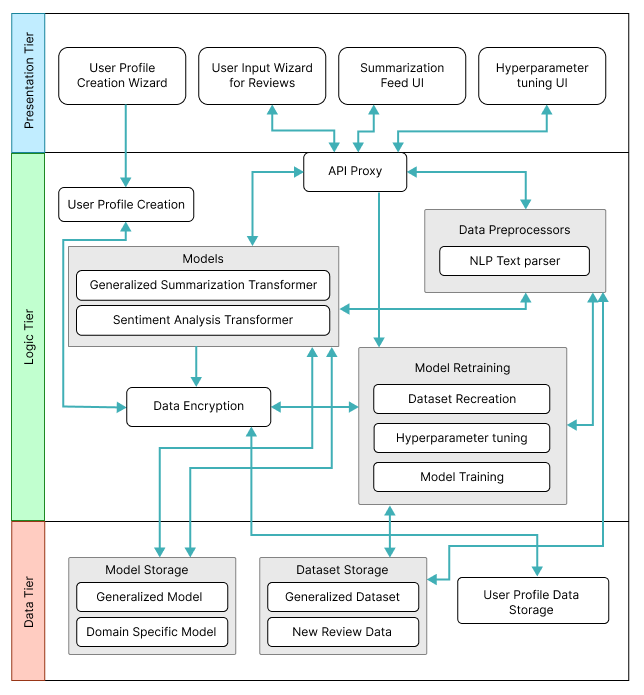


Figure 5: Three-tiered architecture (*Self-Composed*)

## **3.3.2. Discussion of Tiers of the Architecture**

**Data Tier**

1. Model Storage - The text summarization models which will be used for both generalized text summarization and domain specific text summarization will be stored here.
2. Generalized Model – The model which will be used by general users to generated review summarized, this model will be hyperparameter tuned for genialized purpose.
3. Domain Specific Model – The model will be used by domain specific users for review summarization, this model will be replaced whenever the model retraining is triggered from the domain user.
4. Dataset Storage – The data which is required for model training will be available.
5. Generalized Dataset – The data which is used for creating the generalized model will be stored for retraining when it comes to domain specific model retraining.
6. New Review Data – The data stored here are from the domain users when they use the application, the data will be storage and used for retraining along with the generalized dataset.
7. User Profile Data Storage – The metadata data related to the domain specific user when creating the user profile will be stored, for updating and profile deletion.

**Logic Tier**

1. User Profile Creation – Allowing to create unique user profiles for each domain user, main purpose comes when working with model retraining to figure out the data to be used.
2. API Proxy – Interface which allows the frontend to communicate with the backend services via HTTP calls/ request.
3. Data Preprocessors – The text data that will be used as input for the text summarizer must be cleaned using the preprocessing code.
4. NLP Text parser – Responsible for cleaning the input text review when received from the API endpoint.
5. Models – The model which will be responsible in generating the summary from the input review and find the sentiment of the summary generated.
6. Generalized Summarization Transformer – This is the summarization model which will be used, an adaptive model depending on the domain and type of user interacting with the model with optimized hyperparameters.
7. Sentiment Analysis Transformer – This model will be used to classify the generated summary into positive or negative sentiment.
8. Data Encryption – Data encryption is in charge of data protection/safety, keeping domain data extremely secure and leaving it useless even if it is stolen.
9. Model Retraining – Responsible for retraining the model with new data and finding new set of hyperparameters.
10. Dataset Recreation – Responsible for recreating the dataset with new data which has been given as input from the domain users
11. Hyperparameter tuning – Responsible for finding the new best set of hyperparameters using the new data.
12. Model Training – Responsible for training the new model with the new set of hyperparameters found.

**Presentation Tier**

1. User Profile Creation Wizard – The UI that presents the user to create a new profile if they are planning to use the software for their domain business, or a general user to skip the sign up if only a generalized summary is required.
2. User Input Wizard for Reviews – The UI that will request the user to input the review which needs to be summarized.
3. Summarization Feed UI – The UI that displayed the summarized text for the input review.
4. Hyperparameter tuning UI – The UI that triggers model retraining when the domain user performs an action on it.

# **3.4. System Design**

## **3.4.1. Choice of Design Paradigm**

The main reason behind the author going ahead with **SSADM (Structured Systems Analysis and Design Method)** over **OOAD (Object-Oriented Analysis and Design)** to build the protype was due to the ease of ability to extend the system features when it comes to future developments of the system. Given below are the other factors as to why the choice of SSADM was considered:

* Object Oriented approaches will not make a greater benefit since the main core project research lies towards Data Science.
* Ability to demonstrate the MVP (Minimum Viable Product) prototype implementation for the research application is more convenient.
* More time efficient when concerned with the time constraint of having to complete the documentation research along with the project implementation.

# **3.5. Design diagrams**

## **3.5.1. Data Flow diagrams**

In order to show the relationships between components and provide a clearer understanding of how data flows across the whole system, the context diagram's components have been extensively broken down in the diagram below, which was detailed in the SRS previously.

### **3.5.1.1. Level 01 Data Flow diagram**

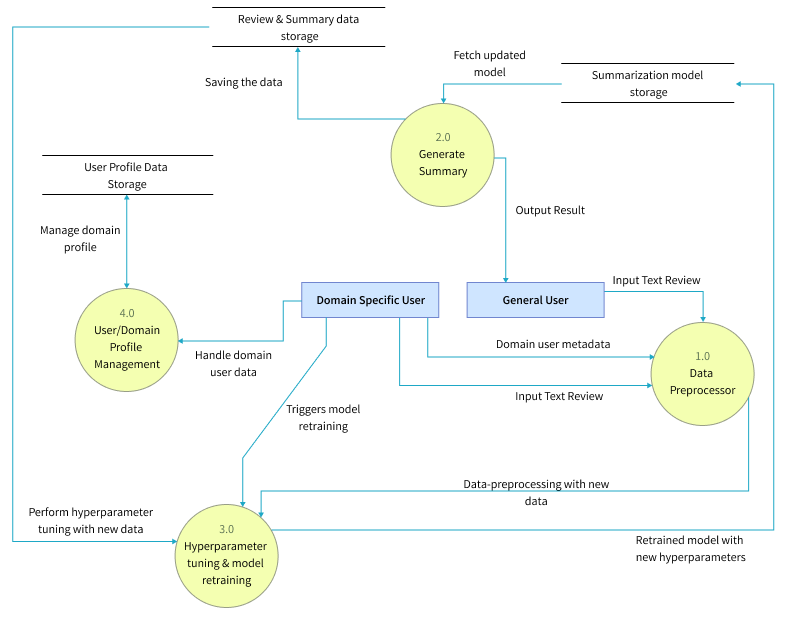


Figure 6: Data flow diagram - level 01 (*Self-Composed*)

### **3.5.1.2. Level 02 Data Flow diagram**

The level 02 data flow diagram given below is a further breakdown of the core hyperparameter tuning and model retraining proposed in the level 01 data flow diagram.

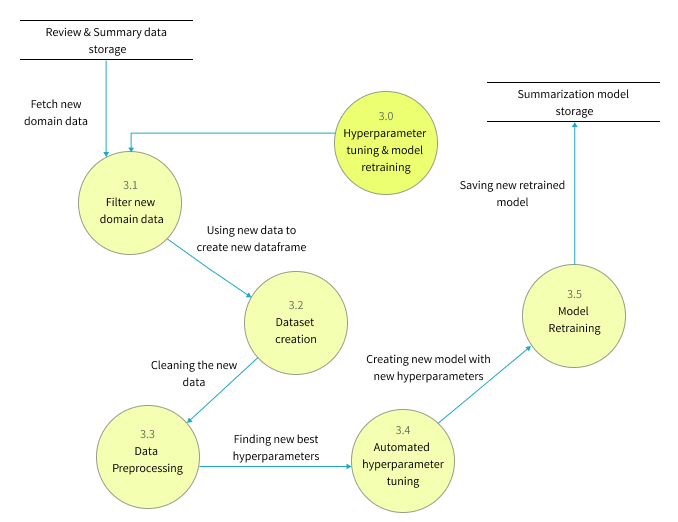


Figure 7: Data flow diagram - level 02 (*Self-Composed*)

## **3.5.2. System Process Activity Diagram**

The flowchart given below represents the algorithm’s flow and the decision structures which explains the flow of the system which is initially expected requirement.

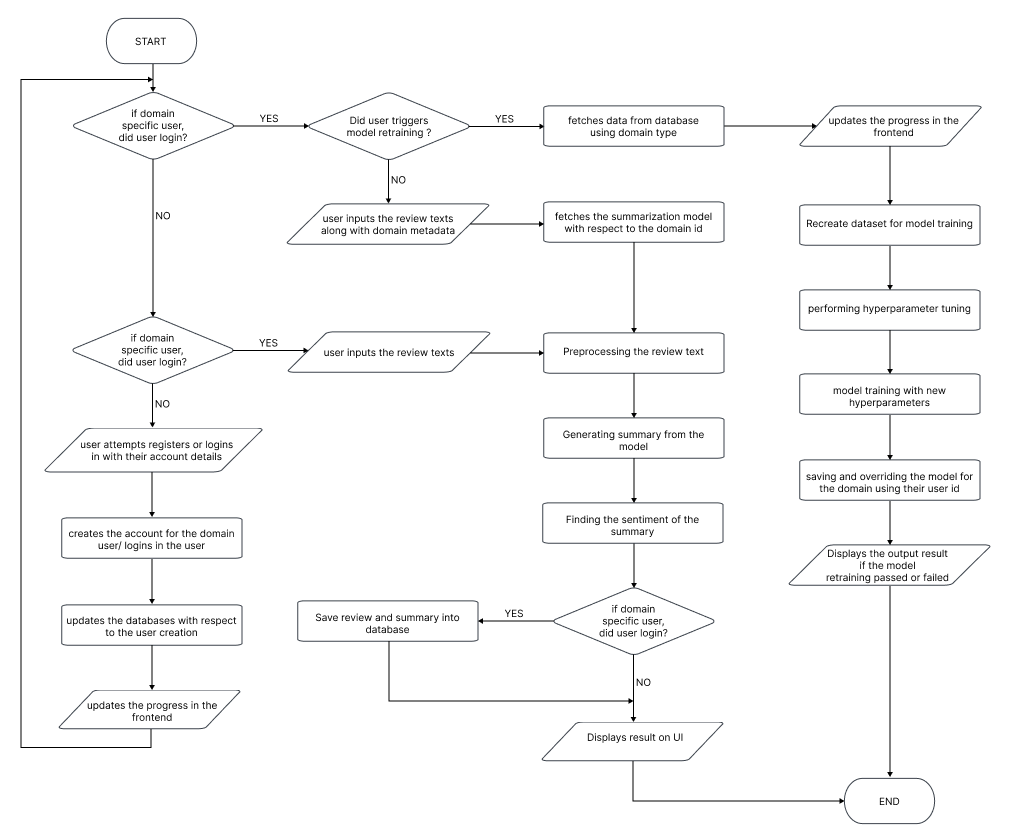


Figure 8: System process flow chart (*Self-Composed*)

## **3.5.4. UI Design**

Given the specifications acquired from the target audience, the author chose a web application for the simulation of the proof-of-concept application. A wireframe design was created to depict the key user interface aspects in the system and is available in [**APPENDIX B.2**](#_B.2._UI_Wireframes_1)

# **3.6. Chapter Summary**

This chapter provides an in-depth examination of the project's design, including its architectural features and explains the core flow via data flow diagrams. The chapter concludes with a preview of the user interface wireframes that will be utilized to facilitate interaction between the end-user and the system.

# **CHAPTER 04. INITIAL IMPLEMENTATION**

# **4.1. Chapter Overview**

This chapter will provide a thorough overview of the technologies, supporting tools, and languages utilized for the project development, as well as the fundamental implementation of the research prototype.

# **4.2. Technology selection**

## **4,2.1. Technology stack**

The technologies utilized to implement the prototype at each tier are given below.

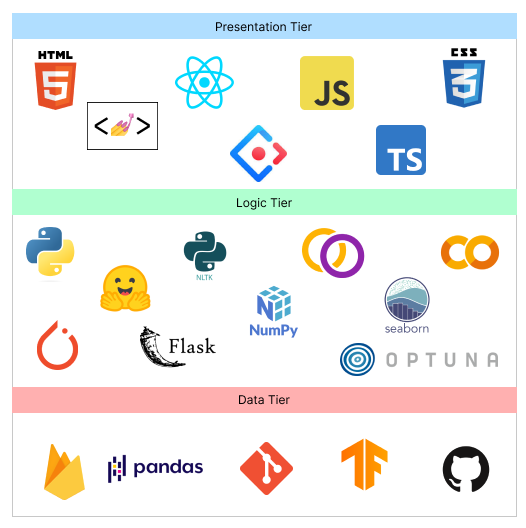


Figure 9: Technology stack (*Self-Composed*)

In preference to macOS and Linux, **Windows** will be the operating system used for project development and documentation. This is due to a wider variety of software available, which ensures that it has more industry-standard tools than Linux and macOS, along with better compatibility and familiarity, which make things simpler to use and manage.

## **4.2.2. Data selection**

The project relies heavily on data science, so it's important to use trustworthy data sources to train the model for accurate results in text summarization. A generalized dataset for text summarization was needed to establish the base model for the project's goal of developing an adaptive generalized text summarization model. TensorFlow's datasets provided several options for this dataset and is considered a reputable source of data. The table below shows the datasets used by previous researchers, which were considered for the prototype development.

Table 8: Dataset sources (*Self-Composed*)

|  |  |
| --- | --- |
| **Dataset** | **Source** |
| CNN Dailymail | TensorFlow Datasets |
| Gigaword | TensorFlow Datasets |
| Xsum | TensorFlow Datasets |

All three datasets (CNN Dailymail, Gigaword, and Xsum) were used during the training process with various transformer architectures to evaluate which dataset produced the best results. After evaluation, Xsum had the best performance, so it was chosen as the final dataset for the project.

## **4.2.3. Programming Language Selection**

Python was chosen as the programming language for implementing Machine Learning models and Backend APIs due to its simplicity, versatility, and wide range of use cases, including data science and machine learning. The availability of various libraries and active community support makes it a powerful tool for these tasks.

**TypeScript** (it’s a superset of JavsScript) was chosen for the frontend development in order to display dynamic content and create a highly interactive and engaging user experience.

## **4.2.4. Development Framework Selection**

The author has chosen several development frameworks for the project covering all areas, the table given below describes the purpose of choosing each framework and whats it used for in the project.

Table 9: Development framework utilized (*Self-Composed*)

|  |  |
| --- | --- |
| **Framework** | **Reason for choosing** |
| React | ReactJS offers reusable components for efficient application development and has strong community support, making it a valuable solution for developers. |
| Ant Design | Ant Design is a React UI framework with a large selection of pre-built components, customizable styles, and tree-shaking compatibility that reduces build time, making it an effective frontend development solution. |
| Flask | Flask is a lightweight and flexible Python web framework that's easy to learn and useful for creating backend APIs. It offers straightforward routing and request processing management, a built-in development server, and various extensions to enhance API capabilities. |
| Optuna | Optuna is a Python framework for hyperparameter optimization that's easy to use, efficient, and helps improve machine learning model performance. It has built-in parallelization, automated early halting, and distributed parallel optimization, and supports popular machine learning libraries. |
| PyTorch | PyTorch is a popular Python machine learning framework that's easy to use, has powerful features, and strong community support. It's built on Torch library and utilizes GPU capability, and is widely used in academia and business for developing machine learning models. |

The data science core uses transformer models from Hugging Face, which are fine-tuned with the datasets used in the project. The goal of retraining the model is to test various hyperparameter changes.

## **4.2.5. Libraries Utilized**

Table 10: Libraries used with reasonings (*Self-Composed*)

|  |  |
| --- | --- |
| **Library** | **Reasoning for selection** |
| Firebase | Used for providing backend services for mobile and web application development. |
| Axios | Used for handling HTTP requests in JavaScript. |
| Redux | Used to control the state of JavaScript applications in a predictable manner by the use of actions, reducers, and a central store. |
| Hugging face Transformers | The Hugging Face transformers library provides pre-trained transformer models and tools for fine-tuning them on specific tasks in natural language processing. |
| NLTK | NLTK is a library for natural language processing that offers tools for various tasks and resources for training language models. |
| Rouge | Library for evaluating text summary quality. Used to compare machine-generated or peer summaries with one or more reference summaries. |
| Pandas | Pandas is a library for data manipulation and analysis, commonly used in data science for handling numerical tables and time series data |
| NumPy | NumPy is a Python library for scientific computing that supports large arrays and matrices of numerical data, along with a variety of mathematical functions for working with them. |
| Matplotlib & Seaborn | Used for creating static, animated, and interactive visualizations in Python |
| Gramformer | Hugging Face is an API for developers to fine-tune GPT-3 models and generate text for tasks like completion, generation, and classification. |
| Flask | Used for creating web APIs using Python to communicate with the transformer model and handling HTTP requests. |

## **4.2.6. IDE’s Utilized**

Table 11: IDE’s used along with justifications (*Self-Composed*)

|  |  |
| --- | --- |
| **IDE** | **Justification for selection** |
| VSCode | It's highly adaptable and useful, with top-notch performance. It includes various capabilities like debugging, Git integration, syntax highlighting, and customizable extensions. |
| Google Colab | Due to its connection with Google Drive and availability of free GPUs, it’s helpful for developing machine learning models via a cloud environment. |
| Jupyter Notebook | Due to their interactive and readable format, making it ideal for local experimentation, documentation and collaboration. |

## **4.2.7. Summary of Technology Selection**

Table 12: Summary of Technology selection (*Self-Composed*)

|  |  |
| --- | --- |
| **Component** | **Tools** |
| Programming Languages | TypeScript, Python |
| Development Framework | Flask, PyTorch, Optuna |
| UI Framework | Ant Design, React |
| Libraries | NLTK, Rouge, React, Pandas, Gramformer, Matplotlib & Seaborn, Axios, Transformers (from hugging face) |
| IDE – Research | Google Colab, Jupyter Notebook |
| IDE – Product | VSCode |
| Version Control | Git, GitHub |
| Data storage | Firebase |

# **4.3. Implementation of Core Functionalities**

The project's main tasks involve experimenting with transformer architectures to find the best one, preprocessing data, automating hyperparameter search, retraining the model with new data and hyperparameters, and enabling it to summarize reviews from both domain and general users.

## **4.3.1. Automated Hyperparameter Search & Model Training**

The author researched ways to automate hyperparameter searching as manual tuning is a waste of time. Despite various frameworks being available, Optuna was chosen for its flexibility and ease of use.

The code snippet given below shows how the Optuna framework is integrated with the model training code to perform automated hyperparameter search. The main performance contributing parameters are considered for the hyperparameter searching this includes learning rate, weight decay, num of training epochs, warmup ratio, batch size.



Figure 10: Hyperparameter search using Optuna (*Self-Composed*)



Figure 11: Hyperparameter results and training arguments (*Self-Composed*)

The above snippet demonstrates how to result of the hyperparameter search is used within the training arguments for model training.

## **4.3.2. Model Usage General & Domain Specific Users.**

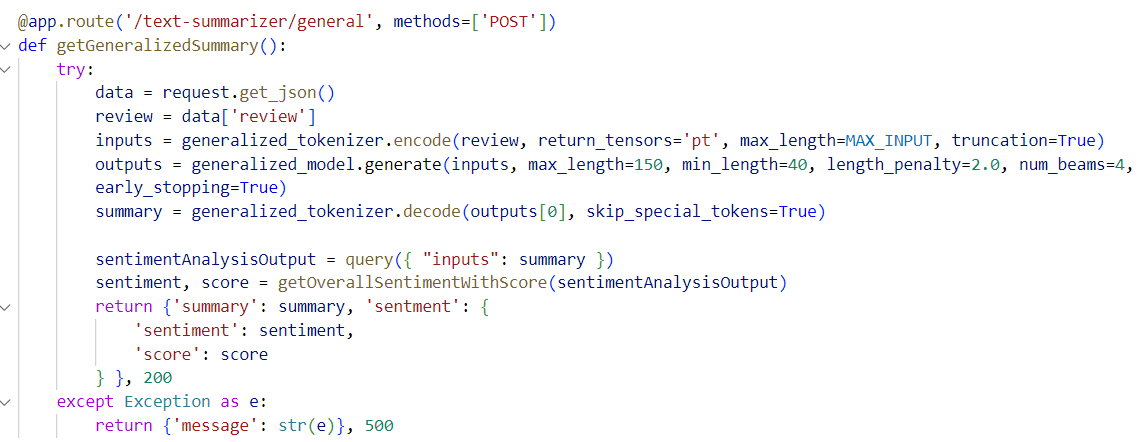


Figure 12: General user review text summarization (*Self-Composed*)

The above code snippet is an API endpoint which handles text (review) summarization for the general users where they don’t need to create and account or have specialized model assigned to them instead the general model is utilized.



Figure 13: Domain Specific text review summarization (*Self-Composed*)

The code snippet given above describes how the newly assigned domain specific model is used to generate the summary and store the input and outputs into the database along with returning the sentiment of the summary with the sentiment score. The sentiment analysis is done using a pretrained transformer directly from hugging face API.

## **4.3.3. Model Retraining.**

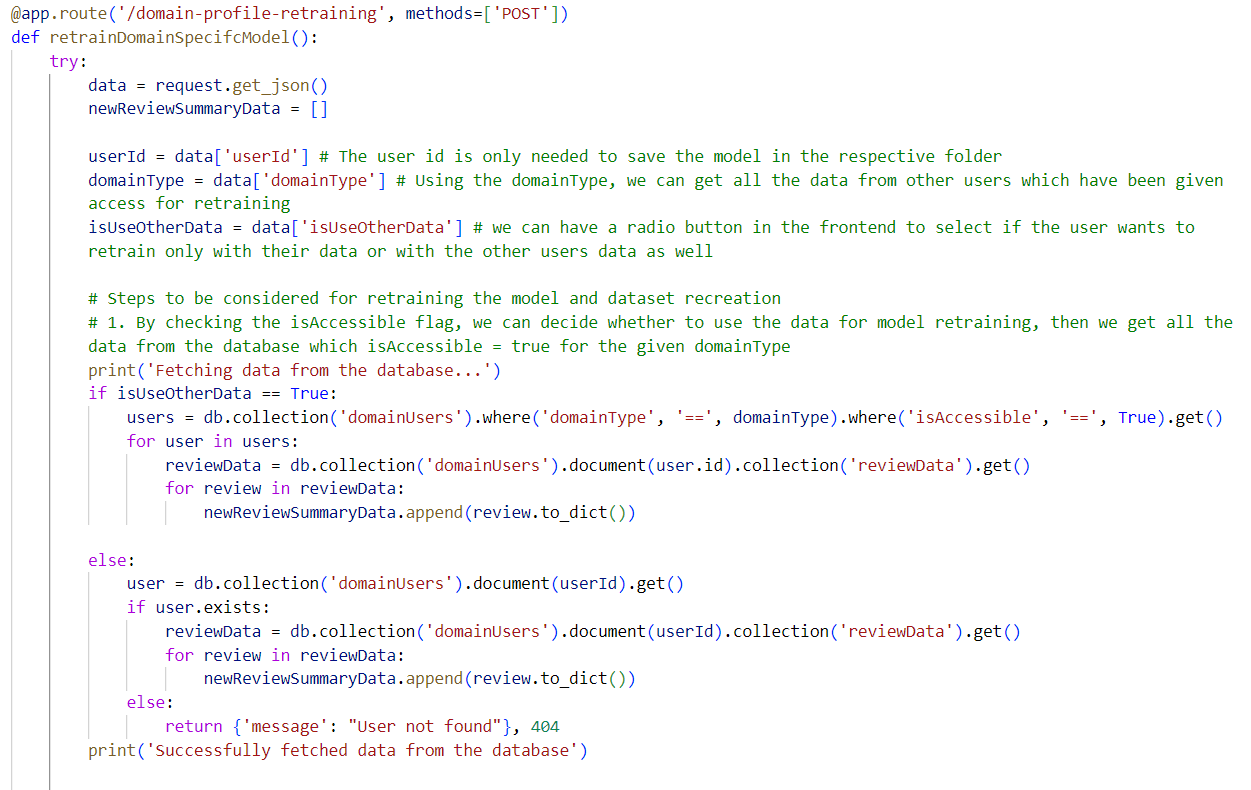


Figure 14: Fetching related data for model retraining (*Self-Composed*)

The code snippet above describes the necessary data fetched from the database to create the new dataset for model retraining, once the new dataset is created it is passed through a function to perform hyperparameter tuning and then retrain the model. Once completed retraining, the old model will be replaced with the new model in the folder path location.

## **4.3.4. Data Preprocessing**

The raw dataset was contaminated with a lot of noise, numerous data preprocessing steps were required to clean the data before model training. The related preprocessing scripts can be found at [**APPENDIX C.1**](#_D.1._Data_Preprocessing).

# **4.3. Chapter Summary**

The chapter discusses the tools, technology, and languages utilized to create the research prototype. The fundamental functionality is covered, along with insights and samples of code for the implemented algorithms, moreover the testing and evaluation related code for the models is discussed.

# **CHAPTER 05. CONCLUSION**

# **5.1. Chapter Overview**

This chapter covers the preliminary conclusion of the research project, including the core functionality of its implementation for the MVP. Any deviations taken with in the project scope will be discussed and an initial evaluation test result will be attached. Any additional improvements planned for the project will be discussed. A demo of the project and the code reference for the project will also be included.

# **5.2. Deviations**

The initial goal of the author was to create an optimized solution for movie review summarization using transformers, but after discussions made with supervisors the research gap of the author for the technical contribution being only hyperparameter tuning of transformer felt small in magnitude, therefore the idea of creating a ***performance adaptive generalized solution*** was considered to continue the research implementation on.

The only project schedule deviation is that the testing scripting like unit, integration and performance testing has not yet been started but will be able to cover up within the timeframe listed. The initial Gantt chart plan can be found at [**APPENDIX D.1**](#_E.1._Project_Schedule)and the current progressing one at [**APPENDIX D.2**](#_E.2._Project_current)

# **5.3. Initial Test Results**

The Xsum dataset was trained with three top tier transformer architecture which are BART, T5 and PEGASUS. The evaluations for all these architectures where conducted is given below.

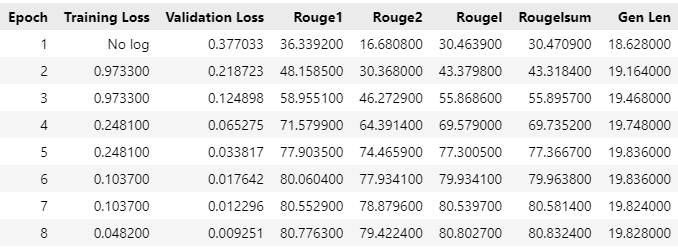


Figure 15: Evaluation result for bart-base model (*Self-Composed*)

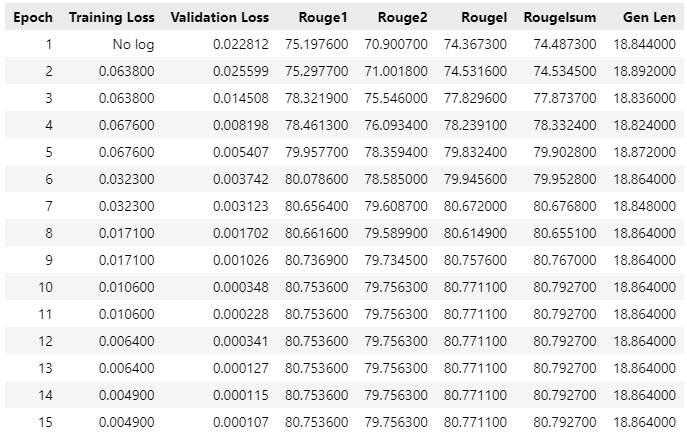


Figure 16: Evaluation result for t5-base model (*Self-Composed*)

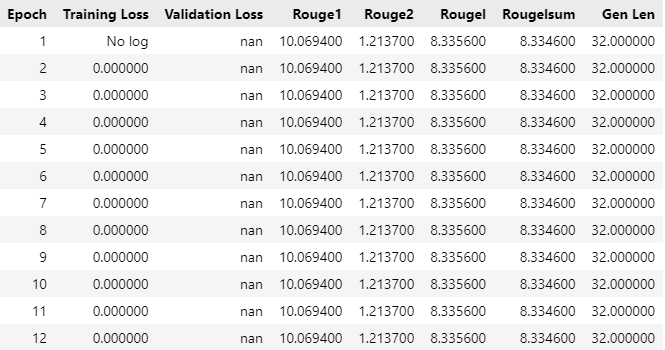


Figure 17: Evaluation result for pegasus-base model (*Self-Composed*)

The bart-base model outperforms the rest of the other two (t5 and pegasus) despite the fact the results of t5 was very closer to bart, it still gets ranked as the second due to evaluation result difference and the difference in the epoch taken to reach the result. However, the result of Pegasus was significantly low bringing it to the last. The validation accuracy and validation loss graphs can be found at [**APPENDIX D.3**](#_E.3._Initial_test)**.**

# **5.4. Required Improvements**

A couple of improvements in-order to bring the project to a success are as follows:

* Integrating the developed APIs and models to the frontend UI: the UI has already been developed by the author at this point in time.
* Testing all areas of the project applications, this will include unit, performance and integration testing.
* Encrypting the data which is storing in the database for security purposes.
* Connecting a push notification service to the UI, to indicate when the retraining process is completed to the end user.

# **5.5. Demo of the Prototype**

A functional prototype demo along with project presentation details was recorded and uploaded to YouTube as unlisted. The video for it can be found at <https://youtu.be/NdXRkGFG9b8> and the presentation slides used in the demo can be found at <https://tinyurl.com/3z3dj9nn>

# **5.6. Code Reference**

All related code and documentation material are made available in GitHub by the author at <https://github.com/nazhimkalam/gensum/tree/main/Code>

# **5.7. Chapter Summary**

This chapter discuses about the core functionality completion of the project for the MVP. All deviations of the project and evaluation results have been discussed. The improvement of the project is also discussed by which the author will be working on. A demo about the project along with the code references is also listed.

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# **APPENDIX A – SRS**

# **A.1. Requirement Elicitation Methodologies**

Table 13: Stakeholder groups (*Self-Composed*)

|  |  |  |  |
| --- | --- | --- | --- |
| **Group** | **Stakeholders** | **Reason** | **Instrument** |
| G1 | Domain experts (NLP Experts, AI Researchers, Data Scientists) | In order to respond to research questions and discover anything the author may have overlooked, gather any insights and information especially in the study area. | Interview |
| G2 | Domain and General Users | Gather requirements which will help develop features expected in the application. | Survey & LR |
| G3 | Competitors | Analyze any existing systems related to the research and understand how the project can be enhanced | Self-Evaluations & Brain Storming |
| G4 | Developers | Cross checking if the project is feasible to be continued with. | Prototyping |

# **A.2. Interview Participant Information**

Table 14: Interview participant information (*Self-Composed*)

|  |  |  |  |
| --- | --- | --- | --- |
| **Participant ID** | **Name** | **Designation/Affiliations** | **Expertise** |
| P1 | Ms. Kanishka Silva | PhD Research Student in Computational Linguistics | NLP |
| P2 | Mr. Nihal Kodikara | Machine Learning Expertise | Lecturer with PhD | ML and Neural Networks |
| P3 | Ms. Rrubaa Panchendrarajan | NLP Researcher | NLP |
| P4 | Mr. Pradeep Sanjaya | Software Architect | Algorithms |
| P5 | Ms. Nelum Weerakoon | Software Architect & ML Researchers | ML & Algorithms |
| P6 | Mr. Dinuka Piyadigama | VP Innovations, Software Engineer | ML & Neural Networks |
| P7 | Ms. Krishna Kripa | Lecturer with MSc | NLP |

# **A.3. Self-Evaluation (Competitor Analysis)**

Table 15: Competitor Analysis (*Self-Composed*)

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Competitor Analysis Table** | | | | | |
| **Tools**  **Feature** | Summarize Bot | Resoomer | Smmry | Text Compactor | **GenSum** |
| Summarizing Text | **✓** | **✓** | **✓** | **✓** | **✓** |
| Domain Specific Generalization | 🗶 | 🗶 | 🗶 | 🗶 | **✓** |
| Ease of Use via GUI | 🗶 | **✓** | **✓** | **✓** | **✓** |
| Summary sentiment and score | 🗶 | 🗶 | 🗶 | 🗶 | **✓** |

# **A.4. Use case Descriptions**

Table 16: Use case description UC:01 (*Self-Composed*)

|  |  |
| --- | --- |
| Use Case Name | Input Review |
| Use Case Id | UC:01 |
| Description | Requested the user to input a text review |
| Primary Actor | General User, Domain Specific User |
| Pre-Conditions | Domain Specific user needs to be login in before this action |
| Extended use cases | None |
| Included use cases | None |
| Trigger | A user selects the text input field to enter text review. |
| Main flow | The general user clicks on the input field to enter the review text, if it’s a domain specific user then user needs to login into the application for this action |
| Alternative flows | None |
| Expectational flows | Displays an error message if the network request fails (server is down, or internet issues from client). |
| Post Conditions | None |

Table 17: Use case description UC:02 (*Self-Composed*)

|  |  |  |
| --- | --- | --- |
| Use Case Name | Create Profile | |
| Use Case Id | UC:02 | |
| Description | Domain users will be able to create a unique profile to manage their content | |
| Primary Actor | Domain Specific User | |
| Pre-Conditions | None | |
| Extended use cases | None | |
| Included use cases | None | |
| Trigger | The domain user signups an account with in the system | |
| Main flow | Actor | System |
| 1. The domain user navigates to the sign-in page. 2. The domain user clicks on sign in, to register their self or login to the application | 1. Create a new user in the database and notify the user. |
| Alternative flows | None | |
| Expectational flows | Displays an error message if the network request fails (server is down, or internet issues from client). | |
| Post Conditions | Success message displayed. | |

Table 18: Use case description UC:10 (*Self-Composed*)

|  |  |  |
| --- | --- | --- |
| Use Case Name | Delete reviews | |
| Use Case Id | UC:10 | |
| Description | Domain users will only be able to perform this action to mange their own data reviews and delete | |
| Primary Actor | Domain Specific User | |
| Pre-Conditions | Domain user should be logged into the application | |
| Extended use cases | None | |
| Included use cases | None | |
| Trigger | Clicking on the delete action button on the review card list | |
| Main flow | Actor | System |
| 1. The domain user logins into the application 2. Navigates to the manage reviews area 3. Clicks on ‘Delete’ on the choice of review by the domain user | 1. Searches for the review with the user id and the review id on the database. 2. Deletes the review from the database. |
| Alternative flows | None | |
| Expectational flows | Displays an error message if the network request fails (server is down, or internet issues from client). | |
| Post Conditions | Success message displayed. | |

Table 19: Use case description UC:04 (*Self-Composed*)

|  |  |
| --- | --- |
| Use Case Name | Search new hyperparameters |
| Use Case Id | UC:04 |
| Description | Searching for new set of hyperparameters during model retraining process. |
| Primary Actor | Domain Specific User |
| Pre-Conditions | Domain user should have entered enough data into the system |
| Extended use cases | None |
| Included use cases | Retrain Model |
| Trigger | Domain user have triggered the model retraining from the UI by clicking on to the “Retrain model” button |
| Main flow | 1. New unseen data is fetched from the database. 2. The data is used for automated hyperparameter model training. 3. New hyperparameter is used for model training |
| Alternative flows | None |
| Expectational flows | None |
| Post Conditions | None |

Table 20: Use case description UC:05 (*Self-Composed*)

|  |  |
| --- | --- |
| Use Case Name | Create model |
| Use Case Id | UC:05 |
| Description | Using the new set of hyperparameters found the model is retrained to create a new updated version |
| Primary Actor | Domain Specific User |
| Pre-Conditions | Domain user should have entered enough data into the system |
| Extended use cases | None |
| Included use cases | Retrain Model |
| Trigger | Domain user have triggered the model retraining from the UI by clicking on to the “Retrain model” button |
| Main flow | 1. Newly found hyperparameters are used to retrain the model. 2. Old model is replaced with the new model. |
| Alternative flows | None |
| Expectational flows | None |
| Post Conditions | None |

Table 21: Use case description UC:06 (*Self-Composed*)

|  |  |
| --- | --- |
| Use Case Name | Prepare dataset |
| Use Case Id | UC:06 |
| Description | Pulling the new data from the database in order to create a new dataset for model retraining |
| Primary Actor | Domain Specific User |
| Pre-Conditions | Domain user should have entered enough data into the system |
| Extended use cases | None |
| Included use cases | Retrain Model |
| Trigger | Domain user have triggered the model retraining from the UI by clicking on to the “Retrain model” button |
| Main flow | 1. Gets the parameters sent from the request body. 2. Fetches data from the database related to the parameters. 3. Creating new dataset using the data. |
| Alternative flows | None |
| Expectational flows | None |
| Post Conditions | None |

Table 22: Use case description UC:08 (*Self-Composed*)

|  |  |  |
| --- | --- | --- |
| Use Case Name | Generate Summary | |
| Use Case Id | UC:08 | |
| Description | Generating summary for the input review using the latest model saved. | |
| Primary Actor | Domain Specific User, General User | |
| Pre-Conditions | User should have entered a review text from the frontend to generate a summary for. | |
| Extended use cases | None | |
| Included use cases | View Summary | |
| Trigger | User clicked on “Generate summary” after using the review text as input. | |
| Main flow | Actor | System |
| 1. User should have entered a text from the frontend in the input field requested. 2. User clicks on “General summary” | 1. System uses the input review to perform data preprocessing. 2. System uses the preprocessed text review to generate the summary |
| Alternative flows | None | |
| Expectational flows | Displays an error message if the network request fails (server is down, or internet issues from client). | |
| Post Conditions | Success message displayed. | |

Table 23: Use case description UC:09 (*Self-Composed*)

|  |  |  |
| --- | --- | --- |
| Use Case Name | Store data | |
| Use Case Id | UC:09 | |
| Description | Storing the review and summary data along with the sentiment. | |
| Primary Actor | Domain Specific User | |
| Pre-Conditions | Domain user should have entered input review and requested | |
| Extended use cases | None | |
| Included use cases | View summary | |
| Trigger | Domain user clicks on ‘Generate summary’ after adding a review text | |
| Main flow | Actor | System |
| 1. User should have entered a text from the frontend in the input field requested. 2. User clicks on “General summary” | 1. The review data is used to generate the summary. 2. Using the generated summary to get the sentiment and sentiment score. 3. The result of all these will be written into the database |
| Alternative flows | None | |
| Expectational flows | None | |
| Post Conditions | None | |

# **A.5. Functional Requirements**

Table 24: ‘MoSCoW’ priority levels (*Self-Composed*)

|  |  |
| --- | --- |
| **Priority Level** | **Description** |
| Must have (M) | The demand at this level is the fundamental functional requirement for a prototype, and it must be carried out. |
| Should have (S) | Although not strictly required for the anticipated prototype to function, important criteria do provide a lot of value. |
| Could have (C) | Optional, non-essential desirable needs are crucial to the project's scope. |
| Will not have (W) | Requirements that the system might not meet right now and that are not given first consideration. |

# **A.6. Usecase mappings**

Table 25: Usecase mappings (*Self-Composed*)

|  |  |
| --- | --- |
| **Use case Id** | **Use case name** |
| UC01 | Input Review |
| UC02 | Create Profile |
| UC03 | Retrain Model |
| UC04 | Search New Hyperparameters |
| UC05 | Create Model |
| UC06 | Prepare Dataset |
| UC07 | View Summary |
| UC08 | Generate Summary |
| UC09 | Store Data |
| UC10 | Delete reviews |

# **APPENDIX B – DESIGN**

# **B.1. Design goals**

Table 26: Design goals of the proposed system (*Self-Composed*)

|  |  |
| --- | --- |
| **Design Goal** | **Description** |
| Performance | To find the new set of hyperparameters with the new data, model retraining requires a significant amount of time. As a result, the newly created dataset (with unseen data) should be accurately made, and it is best if it takes the least amount of time to query the data from various businesses within the same domain to create the dataset. Moreover, other core functionalities should be designed effectively to increase overall performance. |
| Correctness | The correctness & quality of the output should be of the highest possible level utilizing the optimized transformer architecture. Since several approaches are considered in order to get the optimized solution the expected output should of the best possible form. |
| Usability | The system's usability must be straightforward for users of all levels of knowledge because its primary function is to summarize review text for any domain, including movies and general users. |
| Adaptability | Adopting new features or components need to be a simple procedure. The system shouldn't be broken if a component is added or removed, and it shouldn't be affected overall. |
| Scalability | In a production environment, the system may need to accommodate a large number of concurrent user requests. This should be manageable by the backend. The system should be easily expandable to accommodate new data. |

# **B.2. UI Wireframes**

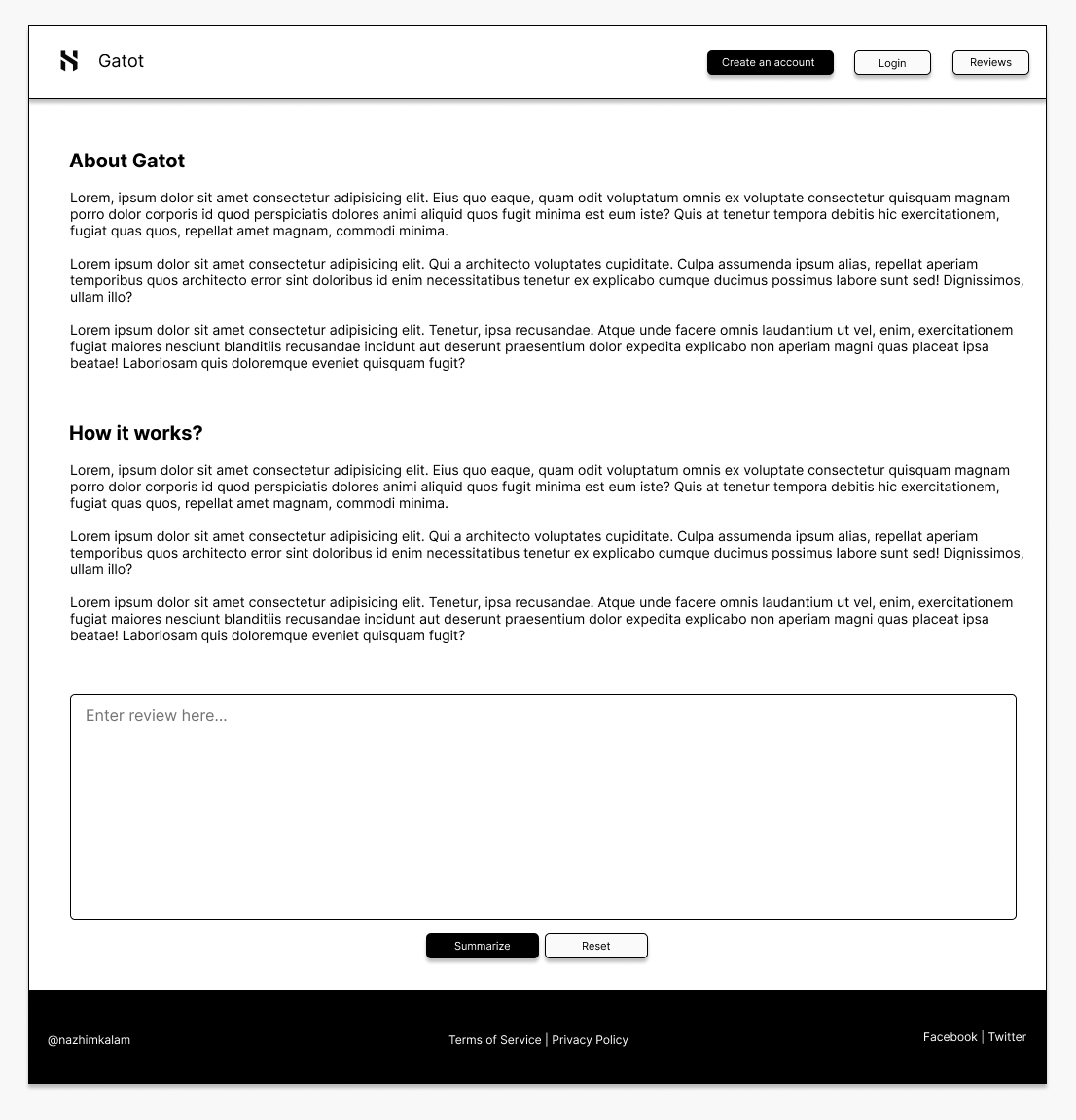


Figure 18: UI – Home page (*Self-Composed*)



Figure 19: UI – Login page (*Self-Composed*)

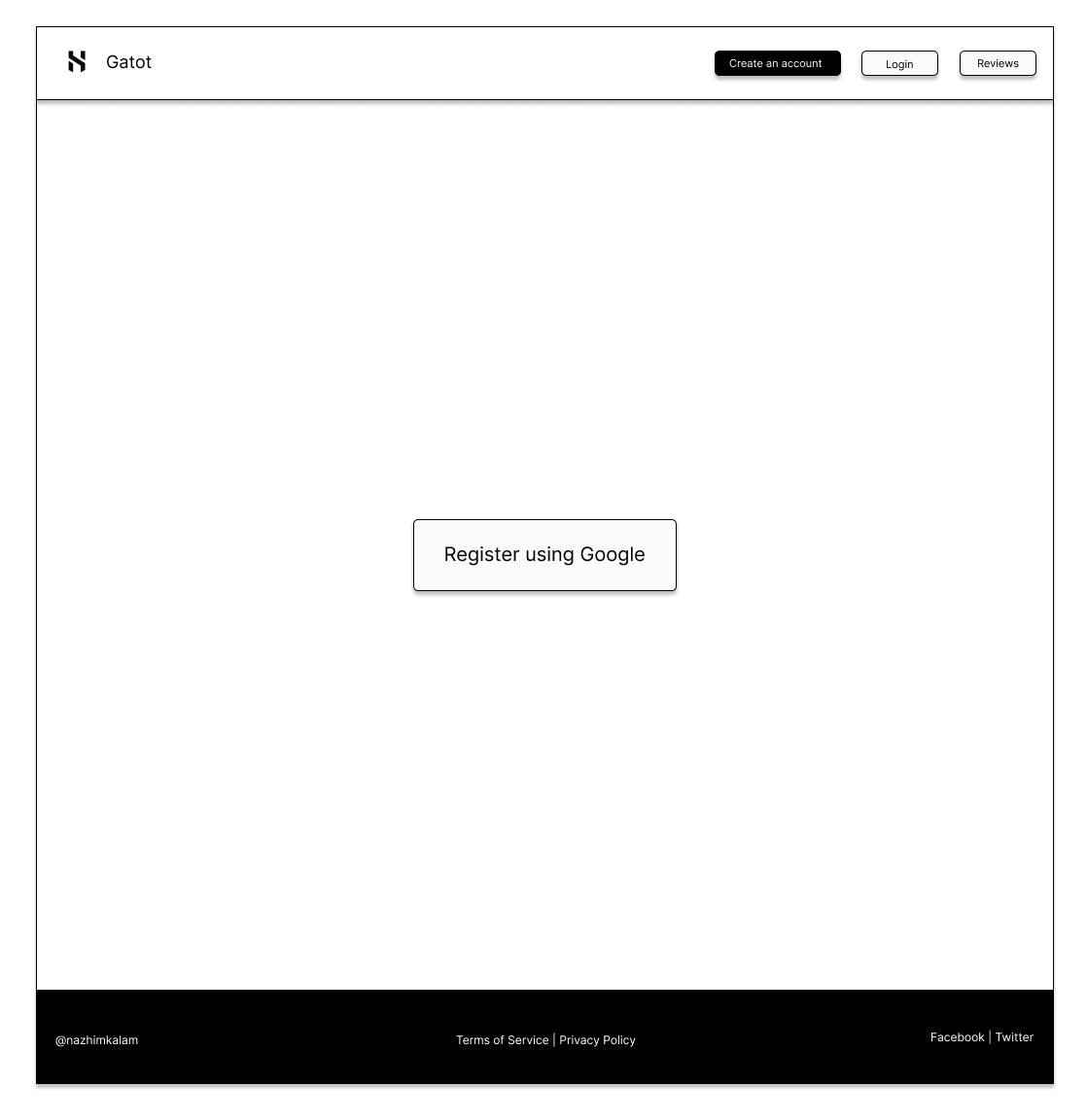


Figure 20: UI – Register page (*Self-Composed*)

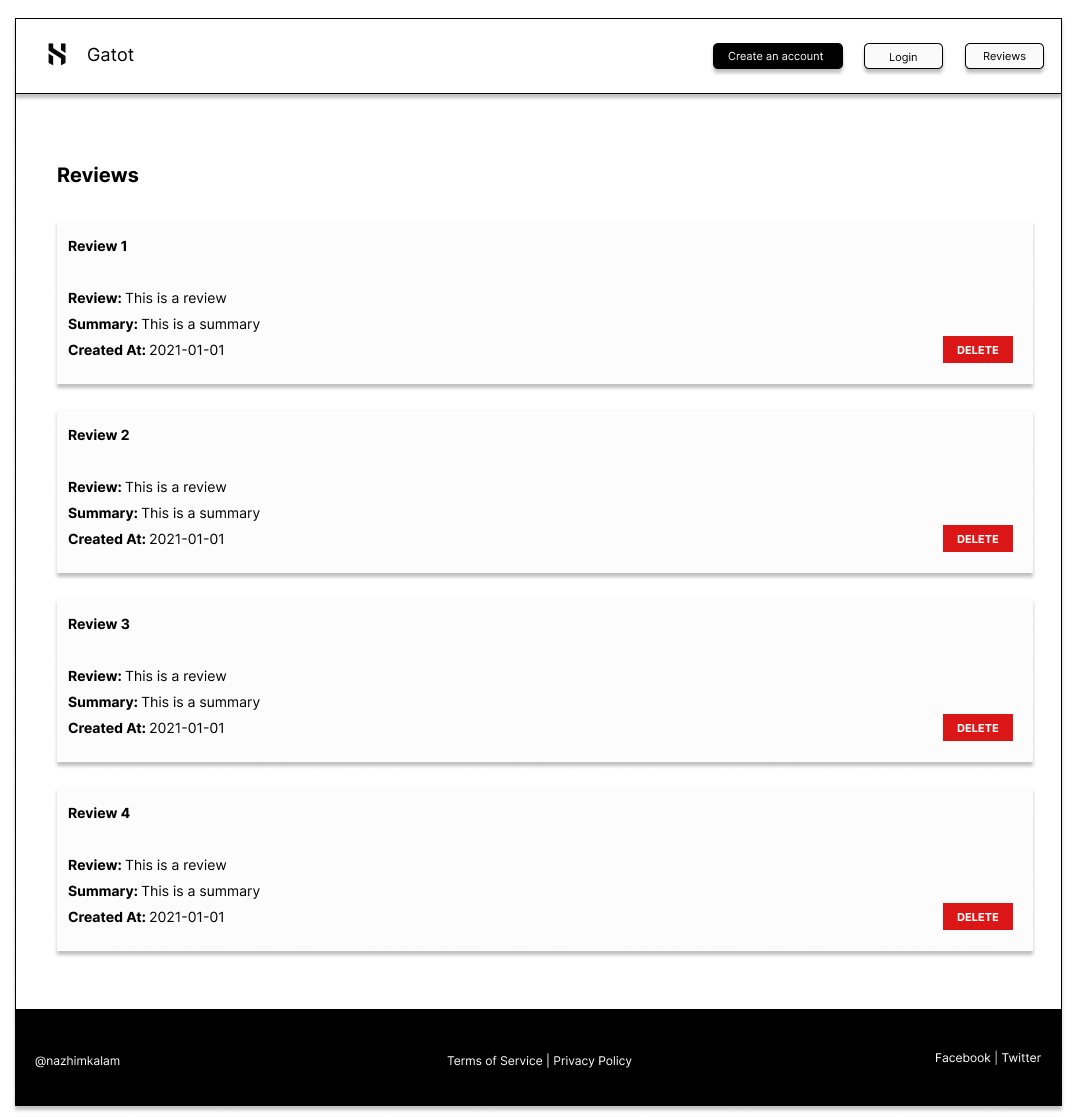


Figure 21: UI – Review history page (*Self-Composed*)

# **APPENDIX C – IMPLEMENTATION**

# **C.1. Data Preprocessing**

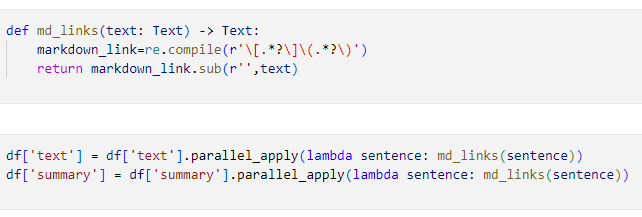
****

Figure 20: Preprocessing: Remove markdown (*Self-Composed*)

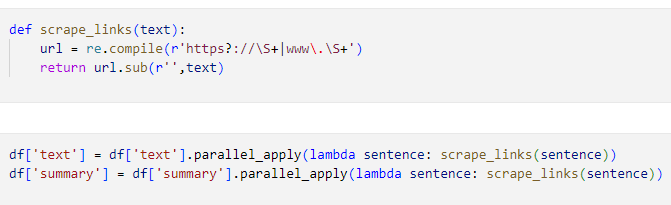
****

Figure 22: Preprocessing – Remove hyperlinks (*Self-Composed*)

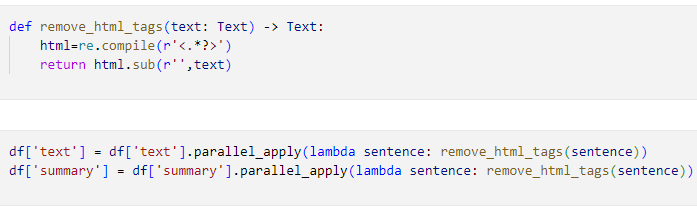
****

Figure 23: Preprocessing: Remove html tags (*Self-Composed*)

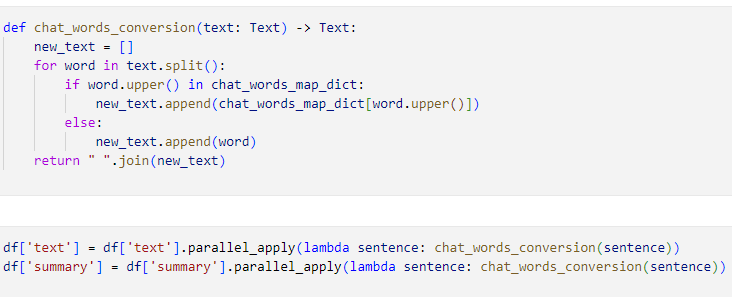
****

Figure 24: Preprocessing: Char words extension (*Self-Composed*)

The above code snippets are used to convert the short key words into longer form, such as e.g.: ‘ATM’ is converted into ‘At the moment’

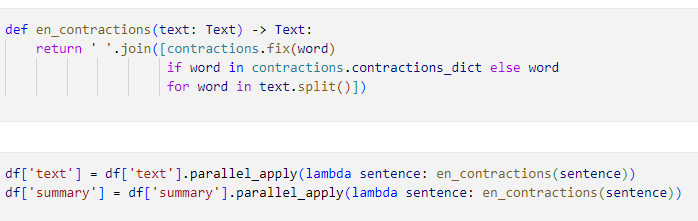
****

Figure 25: Preprocessing: Handling common contractions (*Self-Composed*)

The above code snippets are used to handle/extend common contractions such as e.g.: ‘They’re’ into ‘They are’

****

Figure 26: Preprocessing: Removing special characters (*Self-Composed*)

****

Figure 27: Preprocessing: Resolving spelling mistakes (*Self-Composed*)



Figure 28: Preprocessing: Removing duplicates (*Self-Composed*)



Figure 29: Preprocessing: Restoring missing punctuations (*Self-Composed*)

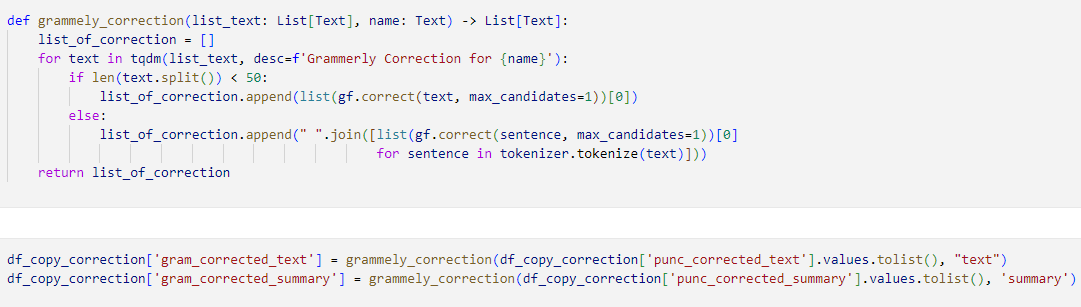
****

Figure 30: Preprocessing: Grammarly correction (*Self-Composed*)

# **APPENDIX D – CONCLUSION**

# **D.1. Project Initial Plan**

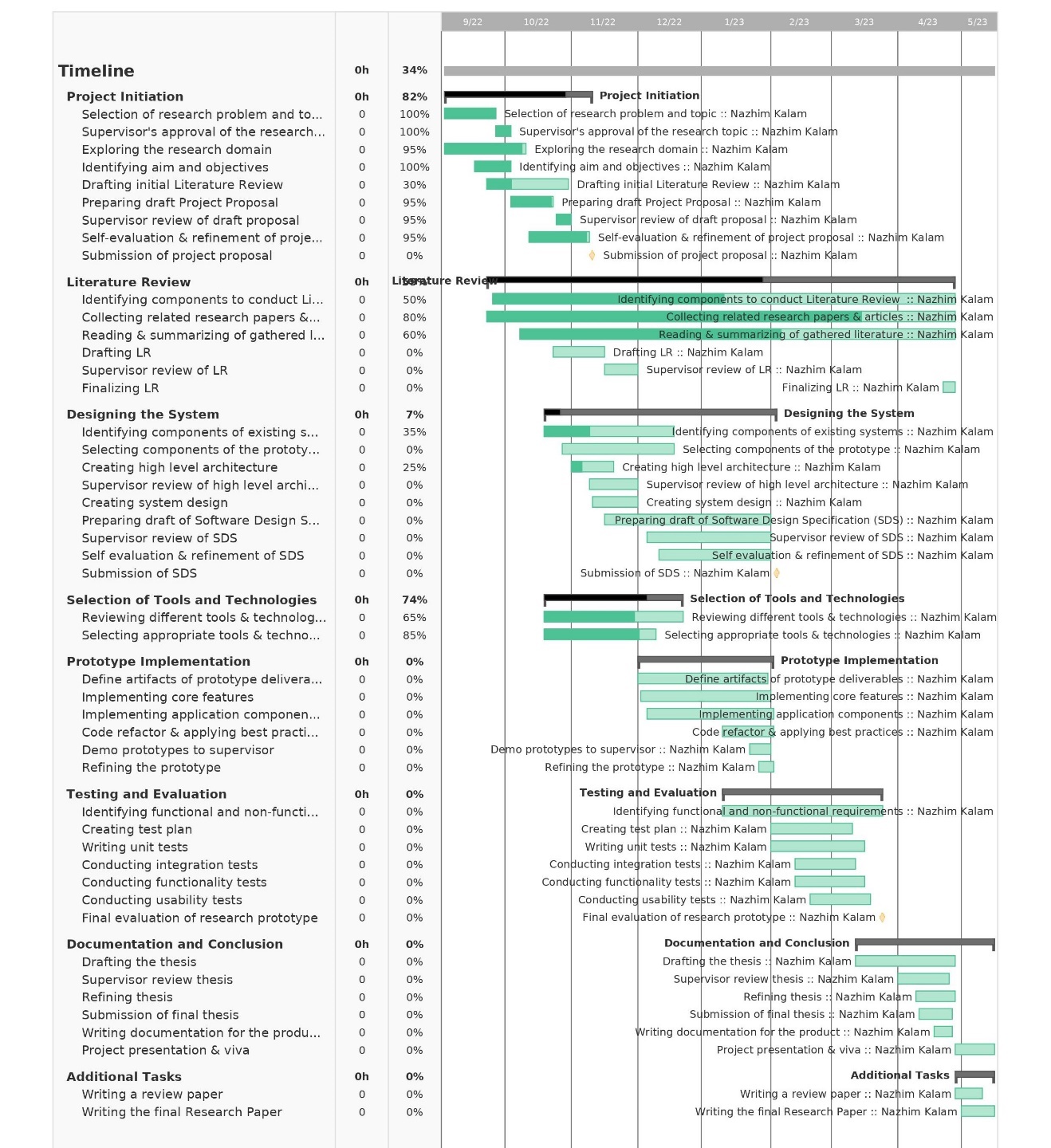


Figure 31: Gantt chart: Initial plan (*Self-Composed*)

# **D.2. Project Current Progress**

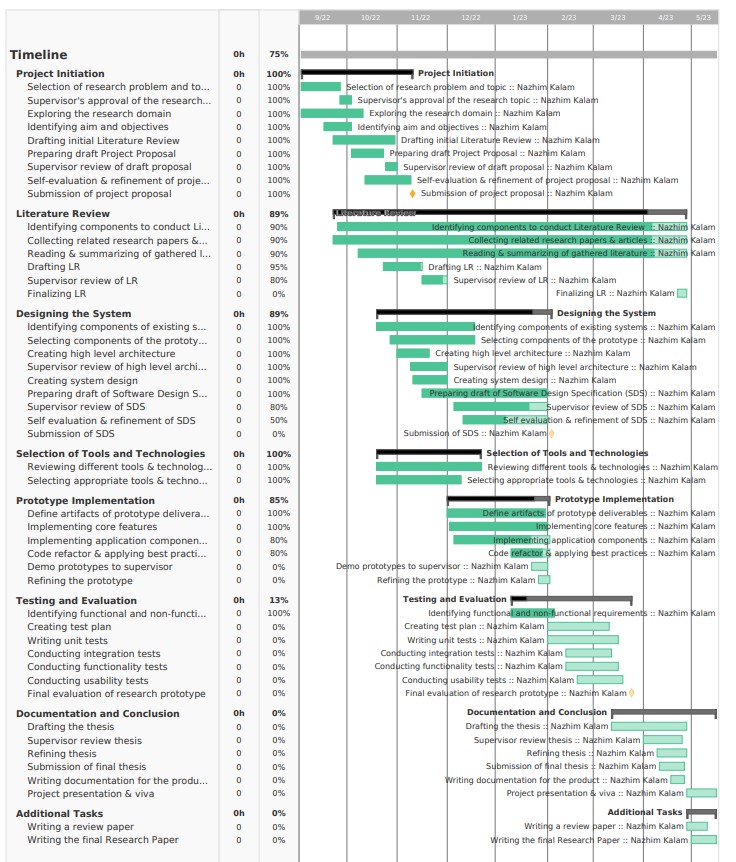
****

Figure 32: Gantt chart: Current plan (*Self-Composed*)

# **D.3. Initial Test Evaluation Results.**

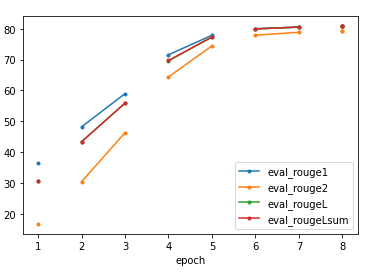
****

Figure 33: bart-base validation accuracy graph (*Self-Composed*)

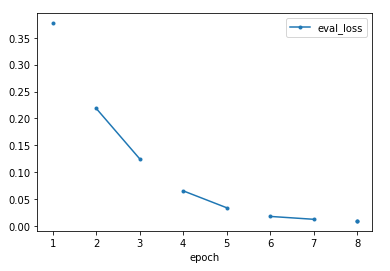
****

Figure 34: bart-base validation loss graph (*Self-Composed)*

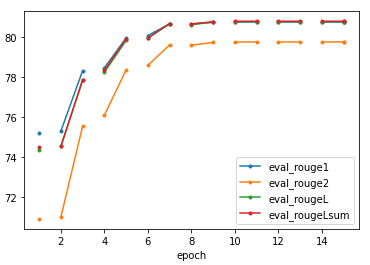
****

Figure 35: t5-base validation accuracy graph (*Self-Composed*)

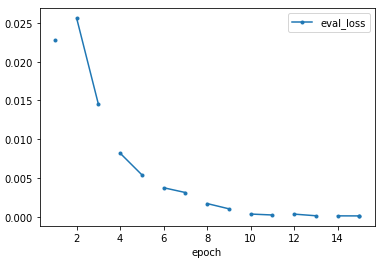
****

Figure 36: t5-base validation loss

graph (*Self-Composed*)

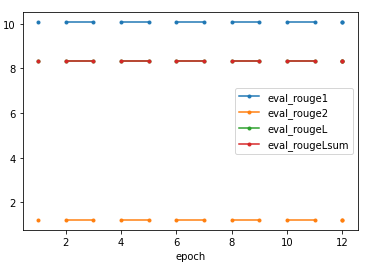
****

Figure 37: pegasus-base validation accuracy graph (*Self-Composed*)

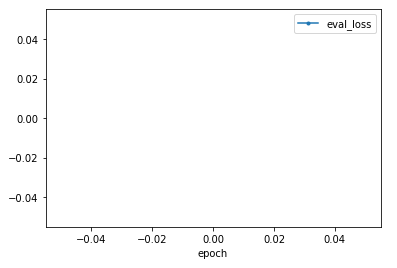
****

Figure 38: pegasus-base validation accuracy graph (*Self-Composed*)

END